Policy Outlook Report



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VIRTUAL ANNUAL GENERAL

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Welcome to ICANN69



Welcome to ICANN69! I am pleased to present the ICANN69 Policy Outlook Report written by the Policy Development Support team. This report provides a high-level overview of the planned work and activities of the Supporting Organizations, Advisory Committees, and the Empowered Community at ICANN69.

ICANN69 is the Annual General Meeting (AGM) for the ICANN community, Board, and organization. This will be the first virtual AGM, taking place in the Hamburg, Germany time zone (UTC+2), which is where this meeting was originally scheduled. ICANN69 features two weeks of sessions that will showcase the work of ICANN. Community Days will be devoted to work sessions and outreach. The second week will focus on plenary sessions, including the Public Forum and the AGM.

We will also celebrate our community at ICANN69. We will recognize the contributions of leaders from across the Supporting Organizations, Advisory Committees, and other ICANN community groups. The inaugural Dr. Tarek Kamel Award for Capacity Building will also be presented. We hope you will join us for these special moments.

A global community of stakeholders and participants with different backgrounds and points of view help coordinate and support the unique identifiers of the Internet. The Internet functions for everyone because everyone is invited to help make it work. Consensus policies developed through the multistakeholder model are highly effective and have the greatest legitimacy.

Thank you all for making the time and effort to attend this meeting. No matter if you are an ICANN regular, an occasional participant, or a newcomer, I encourage you to actively engage in this meeting.

Best regards,

David Olive

Senior Vice President, Policy Development Support

Address Supporting Organization (ASO)

What to Expect

Although several members of the Internet number community, including those of the Address Supporting Organization Address Council (ASO AC), will participate in ICANN69 sessions, the ASO will not convene during ICANN69. The ASO conducts policy development work at Regional Internet Registry (RIR) meetings and on mailing lists.

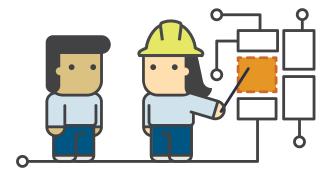
Background

The ASO is defined in a 2019 Memorandum of Understanding (MoU), which affirms the relationship between the names and numbers communities. The 2019 MoU replaces the 2004 MoU and addresses multiple recommendations from the 2017 Organizational Review of the ASO.

The purpose of the ASO is to review recommendations on global Internet Protocol (IP) address policy and advise the ICANN Board. The ASO does not develop policies relating to Internet number resources, but ensures that the policy development process has been correctly followed in each Regional Internet Registry (RIR) community: African Network Information Centre (AFRINIC), Asia Pacific Network Information Centre (APNIC), American Registry for Internet Numbers (ARIN), Latin American and Caribbean Internet Addresses Registry (LACNIC), and Réseaux IP Européens Network Coordination Centre (RIPE NCC).

Global policies are defined in the ASO MoU as "Internet number resource policies that have the agreement of all RIRs, according to their policy development processes, and ICANN and require specific actions or outcomes on the part of IANA or any other external ICANN-related body in order to be implemented." The <u>ASO Address Council</u> (ASO AC) coordinates the global policy development work of the Internet number community and appoints members to the ICANN Board of Directors, the Nominating Committee, and other ICANN groups.

The ASO AC consists of 15 members, three from each RIR. For 2020, Aftab Siddiqui serves as chair; Kevin Blumberg and Jorge Villa serve as vice chairs. They represent the APNIC, ARIN, and LACNIC regions respectively. All ASO AC teleconferences are now open to observers in line with Recommendation 15 from the 2017 ASO Organizational Review. ASO AC teleconferences take place at 12:00 UTC on the first Wednesday of every month. For more information, including the teleconference schedule, observer privileges, and remote participation details, read here.



Country Code Names Supporting Organization (ccNSO)

What to Expect

The Country Code Names Supporting Organization (ccNSO) program begins on Tuesday 13 October, with the <u>ccNSO</u> <u>members Meeting</u>. The first session will focus on governance of the ccNSO, and the second session will provide members with an opportunity to meet with candidates for the ccNSO Council.

On Wednesday 14 October, there is a meeting by the ccNSO Internet Governance Liaison Committee (IGLC), followed by presentations and panel discussions about the country code top-level domain (ccTLD) experiences with the COVID-19 pandemic. On Thursday 15 October, the ccNSO Strategic and Operational Planning Committee (SOPC) will continue discussions on the coordination, facilitation, and participation of ccTLD managers in ICANN and Public Technical Identifiers (PTI) strategic and operational planning processes and related budgetary processes.

There will also be an interactive question and answer session with the candidates for ICANN Board Seat 12, preparing the ccNSO members for the elections in November.

The final session of the ccNSO Members Meeting will be held on Tuesday 20 October, when ccNSO members and the broader ccTLD community participate in a question and answer session with the ccTLD-related ICANN Board members.

<u>Tech Day</u> is a workshop open to all community members with an interest in technical, operational, and security topics. It will be held on Monday 19 October. The <u>ccNSO Council</u> will meet on Wednesday 21 October 2020.

The detailed ccNSO schedule, including a summary of the topics covered and remote participation details for ICANN69, is available on the ccNSO ICANN69 workspace.

Background

The <u>ccNSO</u> is one of the three Supporting Organizations within ICANN. Created in 2003 for and by ccTLD managers, the ccNSO develops and recommends global policies to the ICANN Board, such as the retirement of ccTLDs. The ccNSO provides a global platform to discuss topics and issues of concern, build consensus, technical cooperation, and facilitate the development of voluntary best practices for ccTLD managers. Membership in the ccNSO is open to all ccTLD managers responsible for managing an ISO 3166-1 ccTLD.

The ccNSO is administered by the ccNSO Council, which consists of 18 councilors, 15 elected by ccNSO members, and three appointed by the ICANN Nominating Committee. The councilors are actively involved in determining the work and direction of the ccNSO. Councilors manage the policy development process, lead and participate in various ccNSO working groups, engage with the community on topical issues, and develop positions based on community feedback. The ccNSO Council meets regularly at ICANN Public Meetings and on monthly teleconferences. All Council documents, minutes of meetings, resolutions, and discussions are published on the ccNSO Council workspace.

Consult the <u>Quick Guide to the ccNSO</u> to learn more about its work. <u>Subscribe</u> to the ccNSO monthly newsletter to stay informed. Previous editions are available in the <u>archive</u>.

Country Code Names Supporting Organization (ccNSO)

Session Details

Session times provided in this report are in UTC+2. Please refer to the ICANN69 schedule for the most current information.

Tech Day

Monday, 19 October | 09:00-14:00

Since 2006, <u>Tech Day</u> has been part of ICANN Public Meetings. It provides a forum for both experienced people and newcomers to meet, present, and discuss technical and operational registry topics, security, and other DNS-related work. During ICANN69, Tech Day will focus on Domain Name System Security Extensions (DNSSEC) and other topics.

ccNSO Members Meeting

Session times during the ccNSO Members Meeting are subject to change. Please refer to the <u>ccNSO Members Meeting</u> <u>workspace</u> for the most current information, including detailed agendas, and a summary of the topics covered.

Governance of the ccNSO

Tuesday, 13 October | 12:00-13:30

The ccNSO membership will discuss topics that have a direct impact on the governance structure of the ccNSO, including the expected interaction between the Council and ccNSO membership, and the roles and responsibilities of each. The discussion will highlight the 2004 Internal rules of the ccNSO, and decision-making in the context of the ccNSO as a Decisional Participant of the Empowered Community. The results of the session will provide the basis for further work on the aforementioned topics by the ccNSO Council and the ccNSO Guidelines Review Committee (GRC).

A Question and Answer Session with Candidates for the ccNSO Council

Tuesday, 13 October | 14:00-15:30

This question and answer session will provide ccNSO members and the broader ccTLD community an opportunity to interact with the candidates for the ccNSO Council and ask them questions. Read more about the ccNSO Council elections here.

DNS in Times of COVID-19: The ccTLD Experience

Wednesday, 14 October | 14:00-17:00

This session will focus on the impact of the COVID-19 pandemic on the business side of ccTLDs. The main focus of the first part of the session will be regional ccTLD domain name registration trends. The goal of the second part is to have a moderated panel discussion around the various factors that drive these trends.

A Question and Answer Session with Candidates for the ICANN Board Seat 12

Thursday, 15 October | 14:00-15:30

This question and answer session will provide the ccNSO members and broader ccTLD community an opportunity to interact with the candidates for the position of ICANN Board seat 12. The candidates are Nigel Roberts and Katrina Sataki. The purpose of the session is to inform members in preparation of the election, which is scheduled for November 2020. Read more about the ICANN Board Seat 12 ccNSO Nomination Process here.

Country Code Names Supporting Organization (ccNSO)

Question and Answer Session with ccTLD-related ICANN Board Members

Thursday, 20 October | 14:30-16:00

The ccNSO-appointed ICANN Board members, Chris Disspain, Nigel Roberts, and Patricio Poblete who will replace Chris Disspain after ICANN69, have been invited to attend a virtual question and answer session. ICANN Board members with a long association to the ccTLD community, including Becky Burr, Danko Jevtović, and Lito Ibarra, have also been invited to attend. The session will be moderated by ccNSO member Jordan Carter (.nz).

ccNSO Council Meeting

Wednesday, 21 October | 14:30-16:00

The discussion topics will be made available on the ccNSO Council workspace closer to the meeting.

What to Expect

The Generic Names Supporting Organization (GNSO) is the policymaking body responsible for generic top-level domains (gTLDs). Its members include representatives from gTLD registries, ICANN-accredited registrars, intellectual property interests, Internet service and connectivity providers, business and noncommercial interests. The GNSO brings together these different stakeholders, as well as other parts of the ICANN community, to develop gTLD policy recommendations using a bottom-up, multistakeholder process driven by working groups and teams consisting of community volunteers.

One important accomplishment of the GNSO and the ICANN community this year is the conclusion of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. The GNSO Council adopted the EPDP Phase 2 Final Report during its meeting on 24 September and will submit the Final Report to the ICANN Board for its consideration. In addition, the GNSO Council is considering how to address remaining items from Phase 2 of the EPDP.

The other two ongoing GNSO policy development process (PDP) working groups also expect to conclude their work in 2020. They will hold public sessions at ICANN69 to advance their efforts. The New gTLD Subsequent Procedures PDP Working Group published its draft Final Report for Public Comment on 20 August 2020. It looks forward to engaging with the community on its draft final recommendations and input received. The Review of All Rights Protection Mechanisms (RPMs) in the All gTLDs PDP Working Group has completed its review of the Public Comments received by 55 contributors on its Phase 1 Initial Report. The working group is now finalizing its recommendations and is expected to start reviewing its Final Report at ICANN69.

Progress continues on PDP 3.0, an important GNSO Council initiative focusing on enhancing the effectiveness of the GNSO PDPs. PDP 3.0 has also been identified as a key component of ICANN's Enhancing the Effectiveness of ICANN's Multistakeholder Model effort. At this stage, many work products related to the 14 improvements have been implemented in GNSO working groups or used by the GNSO Council. On 15 September 2020, the GNSO Council held a webinar to share its PDP 3.0 implementation work and lessons learned with the broader ICANN community.

Last year, the GNSO Council also devoted significant effort to develop a mechanism for managing the multitude of ongoing projects. The Council is also planning for the initiation of new work by considering the priority, level of effort, and overall capacity of the community and ICANN org. At ICANN69, the GNSO Council is expected to consider the commencement of several upcoming initiatives that have been identified through its program management efforts.

Just prior to ICANN69, the GNSO engaged with the Governmental Advisory Committee (GAC) to discuss topics of common interest. During ICANN69, the GNSO will hold joint sessions with the ICANN Board, the Country Code Names Supporting Organization (ccNSO), and the Security and Stability Advisory Committee (SSAC). All ICANN community members are welcome to attend and observe the different PDP working groups, the GNSO Council, and the GNSO community sessions.

Background

EPDP on the Temporary Specification for gTLD Registration Data

On 17 May 2018, the ICANN Board approved the Temporary Specification for gTLD Registration Data to allow contracted parties to comply with existing ICANN contractual requirements while also complying with the European Union's General Data Protection Regulation (GDPR). The adoption of the Temporary Specification triggered the requirement for the GNSO to complete a consensus PDP within a one-year period.

On 19 July 2018, the GNSO Council initiated the two-phased EPDP on the Temporary Specification for gTLD Registration Data. Phase 1 aimed to decide whether the Temporary Specification should be confirmed by 25 May 2019. Phase 2 focused on the discussion of a System for Standardized Access/Disclosure (SSAD) to nonpublic gTLD registration data, as well as additional topics held over from Phase 1.

Phase 1 of the EPDP concluded last year. An <u>Implementation Review Team</u> (IRT) is tasked with implementing the <u>ICANN Board-approved</u> Phase 1 policy recommendations. Phase 2 work began in April 2019 and concluded shortly after ICANN68.

Following a detailed review and deliberation of all Public Comment submissions received for its Phase 2 Initial Report and Addendum Report, the EPDP Team delivered its Final Report to the GNSO Council for its consideration on 31 July 2020. The Final Report sets out the EPDP Team's 18 recommendations pertaining to the SSAD, as well as four recommendations related to the so-called "Priority 2" topics, including the display of information of affiliated privacy/ proxy providers, the city field, data retention, and Purpose 2. In preparation for the consideration of the Final Report, the GNSO Council held a webinar for councilors on 3 September 2020.

During its meeting on 24 September 2020, the GNSO Council <u>adopted</u> the Phase 2 Final Report. As part of its approval, the GNSO Council agreed to request a consultation with the ICANN Board to discuss the financial sustainability of SSAD and some of the concerns expressed within minority statements, including whether a further cost-benefit analysis should be conducted before the ICANN Board considers all SSAD-related recommendations for adoption.

In addition, the GNSO Council is considering how to address <u>remaining items</u> from EPDP Phase 2 work, including legal versus natural persons, and feasibility of unique contacts in order to have a uniform anonymized email address and data accuracy. During its ICANN69 public session, the GNSO Council is expected to confirm how to proceed.

The GNSO Council will soon submit its Phase 2 Final Report to the ICANN Board for its review, as well as a request to commence the proposed consultation. As part of the ICANN Board's consideration of the Phase 2 recommendations, a Public Comment proceeding is expected to be held.

While the EPDP Team is not currently planning to meet during ICANN69, those interested in the implementation of the EPDP Phase 1 recommendations are welcome to attend the IRT session on Wednesday 14 October, as it continues to discuss the draft gTLD Registration Data Policy.

New gTLD Subsequent Procedures PDP

The New gTLD Subsequent Procedures PDP Working Group convened on 22 February 2016. Over the course of several years, it has held a number of subgroups and undertaken extensive deliberations on the long list of topics in the working group's charter. The work has included numerous opportunities for community input, including the publication of its Initial Report for Public Comment on 3 July 2018; a Supplemental Initial Report on October 2018; and an additional report from its Work Track 5, which is devoted solely to the issue of geographic names at the top-level.

The working group reviewed the community input and spent the majority of 2020 refining its draft final recommendations to be included in a <u>draft Final Report</u>, which was published for Public Comment on 20 August 2020. This report included draft final recommendations, focusing attention on aspects that have changed substantially since the initial reports.

To aid the ICANN community in its review and understanding of the draft Final Report, the working group co-chairs held a webinar on 14 September 2020, in which they presented selected topics and provided additional context.

After the closing of the Public Comment period on 30 September 2020, the working group turned its attention to evaluating the input received and making necessary adjustments in preparation of the Final Report. The working group is expected to deliver its Final Report to the GNSO Council no later than the end of December 2020.

At ICANN69, the working group is set to meet for two sessions on Wednesday 14 October. It will begin reviewing Public Comment submissions to the draft Final Report and determine whether changes are needed.

Review of All RPMs in All gTLDs PDP

The GNSO Council initiated the Review of All Rights Protection Mechanisms (RPMs) in the All gTLDs PDP on 18 February 2016, and chartered the working group in March 2016. This PDP is being conducted in two phases: Phase 1 covers all the RPMs applicable to gTLDs launched under the 2012 New gTLD Program.

The working group began its Phase 1 work with reviewing the Trademark Post-Delegation Dispute Resolution Procedure (TM-PDDRP), which was completed in late 2016. From October 2018 to October 2019, the working group sequentially completed the initial review of the Uniform Rapid Suspension (URS) dispute resolution procedure, Sunrise and Trademark Claims services, and the structure and scope of the Trademark Clearinghouse (TMCH).

The working group published its Phase 1 Initial Report on 18 March 2020 for <u>Public Comment</u>, which was closed on 4 May 2020. From late May to September 2020, the working group reviewed the Public Comments received from 55 contributors in order to finalize its Phase 1 recommendations, using a <u>Public Comment review tool</u> developed by ICANN org.

Following the completion of the Public Comment review, the working group focused on developing its final recommendations by taking into account the input received. In addition, the working group also discussed recommendations developed by the Competition, Consumer Trust and Consumer Choice Review Team (CCT-RT), and analyzed the relevant sections in the Wave 1 Report of the EPDP Phase 1 Recommendation #27.

During its meeting on 24 September 2020, the GNSO Council <u>approved</u> the working group's <u>Project Change Request</u> for a revised timeline to submit its Final Report by the end of November 2020. The GNSO Council approved a 40-day extension from its previous timeline.

At ICANN69, the working group is expected to hold two open sessions on Tuesday 13 October, and start reviewing its draft Final Report.

IGO-INGO Access to Curative Rights Protection Mechanisms

In April 2019, the GNSO Council <u>voted</u> to approve recommendations 1-4 in the <u>Final Report</u> of the International Governmental Organization and International Non-Governmental Organization (IGO-INGO) Access to Curative Rights Protection Mechanisms PDP Working Group. The GNSO Council also resolved to not approve Recommendation 5 and directed the Review of All RPMs in All gTLDs PDP to consider whether an appropriate policy solution can be developed that is generally consistent with Recommendations 1-4. After engaging in dialogue with the Governmental Advisory Committee (GAC) and INGOs, the GNSO Council adopted an <u>addendum</u> to the charter of the Review of All RPMs in All gTLDs PDP to initiate a separate work track, focusing solely on the deliberation of IGO issues. During its 24 September 2020 meeting, the GNSO Council <u>agreed</u> to support the necessity for members and observers, as well as the initiation of the Expressions of Interest process to identify a single work track chair.

PDP 3.0

The GNSO Council started the PDP 3.0 initiative in 2018 with the goal to introduce incremental improvements to enhance the efficiency and effectiveness of the PDP working groups, as well as to empower the GNSO Council to better serve in its role as the manager of PDPs.

On 24 October 2018, the GNSO Council <u>provided full support</u> to 14 PDP 3.0 improvements, concerning consensus building, project management, and expectations for working group leaders and members. The GNSO Council also agreed to test some of the improvements in the EPDP Team in advance of other GNSO PDP working groups.

The GNSO Council formed a <u>small team</u>, which met regularly between April 2019 and February 2020, to support the implementation efforts. In addition, ICANN org contracted an external vendor to develop the <u>Consensus Playbook</u>, a tool conceptualized by the GNSO Council and created as part of PDP 3.0 initiative. The vendor developed the playbook with ICANN-wide applicability in mind, drawing on engagement with leaders across the community.

On 20 February 2020, the GNSO Council adopted the <u>Final Report</u> of the PDP 3.0 implementation, which included all work products associated with the 14 improvements, as well as additional proposals such as a revised PDP working group charter template. At this stage, many work products related to the 14 PDP 3.0 improvements have been deployed in GNSO working groups, or used by the GNSO Council.

PDP 3.0 has been identified as a key component of the "Enhancing the Effectiveness of ICANN's Multistakeholder Model" effort, and the GNSO is eager to share its work and lessons learned with the broader ICANN community. On 15 September 2020, the GNSO Council held a webinar to highlight practical examples of the PDP 3.0 work products that have been applied in ongoing GNSO working groups, as well as kick start the preliminary assessment of PDP 3.0's impact. An additional goal of the webinar was to help other Supporting Organizations and Advisory Committees evaluate the applicability of PDP 3.0 improvements to their own internal processes and projects.

The PDP 3.0 webinar included an introduction to the <u>Consensus Playbook</u>, which aims to provide the community with practical tools and best practices for building consensus, bridging differences, and breaking deadlocks within ICANN processes. As a result of the playbook's widened scope of applicability, ICANN org is anticipated to lead and facilitate future educational activities related to the playbook across the ICANN community as part of the Enhancing the Effectiveness of ICANN's Multistakeholder Model effort.

Many PDP 3.0 work products are intended to be applied to new GNSO PDP working groups launched in the future, or invoked when circumstances warrant their application. After all improvements are in effect, especially in future PDP working groups, the GNSO Council plans to conduct a review of the implementation's effectiveness. If such review concludes that the changes brought by PDP 3.0 are effective, the GNSO Council is expected to consider any necessary updates to the GNSO Operating Procedures to memorialize those improvements.

Program Management and Policy Scoping

Last year, the GNSO Council devoted significant effort to cataloguing the portfolio of GNSO work and assessing how that pipeline of work can be effectively managed and executed using improved and new tools such as the Projects List and Action/Decision Radar (ADR).

This foundational work has helped the GNSO Council to plan its work as it is heading into ICANN69 and into the future identifying several upcoming initiatives.

Specifically, the GNSO Council:

- Requested a Preliminary Issue Report on issues identified in the <u>Transfer Policy Initial Scoping Paper</u> to determine whether a PDP or series of PDPs should be initiated regarding changes to the Transfer Policy.
- <u>Supported</u> the initiation of an operational work track to address the impacts from the Internationalized Domain Name (IDN) Guidelines 4.0 upon existing registry agreements and future applicants, while considering launching a policy work track to address the impacts from the IDN variant TLD recommendations.

The GNSO Council has also been addressing a multitude of issues related to the Registration Directory Service, among other projects and activities. Learn more.

More Information

To help you prepare for ICANN69, read the briefing. This report has recently been redesigned to be more concise and engaging by focusing on the latest updates in GNSO PDP working groups and implementation review teams.

Session Details

Session times provided in this report are in UTC+2. Please refer to the ICANN69 schedule for the most current information.

PDP Working Group Meetings

Review of All RPMs in All gTLDs PDP

Tuesday, 13 October | 14:00-17:00

New gTLD Subsequent Procedures PDP

Wednesday, 14 October | 14:00-17:00

GNSO Council Meeting

Wednesday, 21 October | 13:00-16:00 Thursday, 22 October | 12:30-14:00

Joint Meetings

Meeting with ICANN Board

Tuesday, 13 October | 12:00-13:30

Meeting with SSAC

Tuesday, 13 October | 09:00-10:00

Meeting with ccNSO

Tuesday, 20 October | 12:30-14:00



What to Expect

During ICANN69, At-Large will hold <u>16 sessions</u> to discuss issues of interest to the At-Large community and Internet end users. The sessions are organized under three tracks: 1) At-Large policy, 2) outreach, including capacity building, and 3) operations.

The At-Large community will also welcome current, ongoing and incoming leaders, and León Sánchez will continue his second three-year term on seat 15 of the ICANN Board.

Background

Track One: At-Large Policy Advice Activities, Workshops, and Discussions

Prior to ICANN69, the ALAC submitted nine policy advice statements, including the first Latin American and Caribbean Islands Regional At-Large Organization (LACRALO) statement on an ICANN regional strategy, and an ALAC statement on the Final Report on the Phase 2 of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. The statement on EPDP and addendum led to a robust discussion between ICANN President and CEO Göran Marby and ALAC members during the September ALAC meeting. The LACRALO statement was in response to the ICANN Latin America and Caribbean (LAC) Regional Strategic Plan for Fiscal Years 2021-2025. The countries that fall under the LAC Strategy within LACRALO provided feedback on topics including Domain Name System (DNS) security, ICANN governance, unique identifier systems, and geopolitics. The third RALO statement submitted in 2020 demonstrates increasing involvement of the RALOs in policy activity within At-Large.

The <u>At-Large Consolidated Policy Working Group (CPWG)</u> held several single-issue teleconferences about the New gTLD Subsequent Procedures (SubPro) PDP earlier in 2020, in preparation for the Public Comment proceeding. A small team organized these sessions and gave weekly presentations to the CPWG on the topic. In September 2020, the ALAC submitted a statement on the <u>GNSO New gTLD Subsequent Procedures Draft Final Report</u>, which was the culmination of months of volunteer work in developing At-Large scorecards on SubPro topics, creating a survey on geographic names, and analyzing feedback from the community of Internet end users.

The newly formed <u>At-Large Operations</u>, <u>Finance and Budget Working Group (OFB-WG)</u> developed ALAC statements on <u>Enhancing the Effectiveness of ICANN's Multistakeholder Model - Next Steps</u>, the <u>Final Report of the Cross Community Working Group (CCWG) on New gTLD Auction Proceeds</u>, and the <u>Third Accountability and Transparency Review Team (ATRT3) Final Report</u>, among others.

During ICANN69, the At-Large community will hold three sessions related to ICANN policy, one of which will double as an outreach session: "Beyond Budapest: The UN Cybercrime Treaty and DNS Abuse," "Coordinating the Internet Unique Identifiers and the Interests of the Internet User," and "The At-Large Community and DNS Abuse: An Individual User Education Campaign."

"Beyond Budapest: The UN Cybercrime Treaty and DNS Abuse" will examine the progress and perspectives of the proposed United Nations (UN) cybercrime treaty, exploring how it may impact the mission of ICANN. Its point of departure will be the recent reports from the ICANN Government and Intergovernmental Organization (IGO) Engagement Team. The session will explore whether the ongoing UN discussions impact the understanding of DNS abuse, such as the Communications Assets Survey & Mapping (CASM) Tool, online fraud, or any other online activity that the community considers harmful. ALAC will take this opportunity to look at the international cybercrime treaty already in place: the Convention on Cybercrime (CoE) from the Budapest Convention and the mechanisms it offers to effectively protect Internet end users from DNS abuse. The At-Large community will invite speakers from the Government and IGO Engagement Team to provide background for these discussions and will welcome representatives from the Council of

Europe, including observers from the Governmental Advisory Committee (GAC), as well as from the contracted parties to discuss the DNS abuse framework. Desired outcomes of the session include answering the following questions: How does DNS abuse fit in with existing cybercrime frameworks, both national and international? Can cybercrime laws be useful for fighting DNS abuse? What are the opportunities for having the ICANN voice heard in international cybercrime discussions? We will also seek to identify opportunities for enhanced ICANN community input into this, and similar processes that directly impact the effectiveness of our DNS abuse mechanisms.

"Coordinating the Internet Unique Identifiers and the Interests of the Internet User," will explore the interests of the end users as they relate to the Internet unique identifiers from different perspectives, and show how coordination and collaboration between different stakeholders ensures that policy development and technical functions are carried out in the public interest.

"The At-Large Community and DNS Abuse: An Individual User Education Campaign," will double as an outreach session, with one representative lead from each RALO.

The ALAC will also hold policy discussions with the ICANN Board and the GAC. There will also be a <u>Joint ALAC and NCSG</u>
<u>Meeting on the topic of "ICANN and Human Rights - A Way Forward."</u>

For more information regarding ALAC/At-Large Policy Comments and Advice, please visit the <u>At-Large Website Policy Summary</u> and <u>ALAC Executive Summaries</u>.

Track Two: At-Large Outreach and Capacity Building

The <u>At-Large Capacity Building Working Group (CBWG)</u> organized several webinars after ICANN68 on the following topics: <u>New gTLD Rounds and Subsequent Procedures</u>, <u>DNS over HTTPS (DoH) and DNS over TLS (DoT)</u>, and <u>Giving Better Presentations Online: Forming Old Habits in the New Reality</u>. Resources for the webinars are available on the <u>CBWG workspace</u>.

In preparation for ICANN69, At-Large will hold two prep webinars as a part of ICANN69 Prep Week. On Monday 5 October, an At-Large ICANN69 Introduction webinar will be held, followed by an At-Large Social Media webinar on Wednesday 7. October. The At-Large policy session on "DNS Abuse: An Individual User Education Campaign," will double as an outreach session.

For the first time at an ICANN Public Meeting, there will be a session on At-Large Structures (ALSes) and their engagement titled "ICANN At-Large: Ensuring Community Engagement in Pandemic Times." There will also be a meeting of the Outreach and Engagement Subcommittee. Olivier Crepin-Leblond, the current Co-Chair of the CPWG, will moderate a session with the Engagement Group-Internet Governance (EG-IG), on Digital Platforms and Regulation.

The <u>Joint AFRALO-Africann Meeting</u> on Wednesday 14 October, will focus on the topic of the "Status, Impact and Recommendations for Universal Acceptance in Africa." The session will feature guest speakers who are ICANN Board members from Africa, experts on Universal Acceptance, as well as the ICANN President and CEO Göran Marby.

On Thursday 15 October, EURALO will host two European Stakeholder Roundtables on the topic of "European Perspectives on ICANN and Internet Governance." Part one will focus on answering the question "As a European leader of the ICANN community, can you point out an important topic for ICANN and its communities, from a European perspective, and explain why it is important?" Part two will ask, "As a European leader of the ICANN community and participant in Internet governance activities, can you point out one important topic for you or your group, from an ICANN perspective, and explain why it is important?"

Also on Thursday 15 October, there will be an At-Large session on "ICANN At-Large: Ensuring Community Engagement in Pandemic Times." This session will highlight work by ALSes and individual members of At-Large during the COVID-19 pandemic. ALSes and individual members from the five RALOs will share their experiences on how they have been

engaging in At-Large, and the initiatives they have facilitated within their communities. There will be a discussion on how this engagement can be improved based on the feedback from the At-Large community.

Finally, on the same day, there will be an At-Large Regional Leaders Meeting to discuss RALO activities and the 2020 Internet Governance Forum (IGF. The RALOs will consider updating criteria for the FY21 RALO discretionary funding to include virtual events and future ICANN Public Meetings strategy.

Track Three: At-Large Operations

Prior to ICANN69, there was a call for nominations for the ALAC chair. Maureen Hilyard was the only ALAC member who was nominated. She accepted the nomination on 18 September 2020. In accordance with <u>ALAC Rules of Procedure</u>

16.3, Hilyard has been <u>re-appointed as the ALAC Chair by acclamation</u>. Hilyard's one-year term will begin at the end of ICANN69 and conclude during ICANN72. Please see all the results of the <u>2020 ALAC and RALO Elections</u>, <u>Selections</u>, <u>and Appointments</u> on the <u>At-Large workspace</u>.

On 13 October, At-Large Leadership will hold an ICANN69 welcome and introductory session. It will include a discussion on how the ICANN community finds itself confronted with hundreds of recommendations for reform, from the Cross-Community Working Group on Enhancing ICANN Accountability Work Stream 2 (WS2); the Competition, Consumer Trust and Consumer Choice Review Team (CCTRT); the second Security, Stability and Resiliency Review (SSR2); and Rights Protection Mechanisms (RPMs) Policy Development Process. The recently completed third Accountability and Transparency Review (ATRT3) recommendations include some suggestions for how the community might go about addressing these issues. As the At-Large participates in these new structures, the community will aim to identify its own priorities for reform so that it can effectively advocate for the At-Large community. This session is just the first of many that will be organized to determine At-Large's priorities and come up with recommendations.

"The At-Large Community and DNS Abuse: An Individual User Education Campaign," will double as an outreach session, with one representative lead from each RALO. During ICANN67, the ALAC/At-Large community is committed to a two-pronged strategy with regard to DNS abuse. First, At-Large would play a leadership role in promoting the necessary dialogue within the ICANN community, identifying needed reforms to ICANN policy and additional tools for ICANN Contract Compliance as well as best practices of all parties. The second prong is an education campaign, designed to alert the At-Large constituency and Internet end users to the dangers of DNS abuse and develop strategies to protect themselves. In this session, representatives from each of the RALOs will discuss:

- Regional efforts currently underway, including available resources and lessons learned.
- Additional resources (videos, flyers, etc.) that are necessary to enhance outreach.
- Creative ways to get these resources in front of as many Internet end users around the world as possible.
- Potential metrics to evaluate each of these efforts: allow for program assessment and refinement.

This session is the first of many discussions necessary to launch a large-scale education campaign on DNS abuse.

An <u>ALAC 2020: Achievements Update</u> with At-Large working group chairs, RALO chairs, and ALAC liaisons will be held on Tuesday 20 October. The <u>At-Large Wrap Up session on Thursday 22 October</u>, will include a debrief of ICANN69 and prepare for ICANN70.

Additional information on At-Large activities at ICANN69 is available on the At-Large ICANN69 Workspace.

Session Details

Session times provided in this report are in UTC+2. Please refer to the LCANN69 schedule for the most current information.

At-Large Leadership Welcome Session and "Recommendation Thresher: Where do we Begin?" Monday, 22 June | 10:00-11:30

Joint ALAC and NCSG Meeting on the topic of "ICANN and Human Rights - A Way Forward"

Tuesday 13 October | 14:00

The At-Large Community and DNS Abuse: An Individual User Education Campaign

Wednesday 14 October | 10:30

Joint AFRALO-AfrICANN Meeting

Wednesday 14 October | 12:00

Two European Stakeholder Roundtables on the topic of "European Perspectives on ICANN and Internet Governance"

Thursday 15 October | 09:00

ICANN At-Large: Ensuring Community Engagement in Pandemic Times

Thursday 15 October | 12:00

Outreach and Engagement Subcommittee

Thursday 15 October | 16:00

ALAC and the ICANN Board

Monday 19 October | 09:00

Coordinating the Internet Unique Identifiers and the Interests of the Internet User

Monday 19 October | 12:30

ALAC 2020: Achievements Update

Tuesday 20 October | 12:30

Beyond Budapest: The UN Cybercrime Treaty and DNS Abuse

Tuesday 20 October | 16:30

ALAC and the GAC

Wednesday 21 October | 09:00

Engagement Group on Internet Governance (EG-IG): Digital Platforms and Regulation

Wednesday 21 October | 15:30

At-Large Wrap-Up Session

Thursday 22 October | 12:30

Governmental Advisory Committee (GAC)

What to Expect

The Governmental Advisory Committee (GAC) plans to leverage resources of the virtual format for ICANN69 to conduct almost 20 hours of substantive discussions on issues that are important to government participants. As with previous virtual meetings, the GAC will be expanding its public meeting activities beyond the core meeting week to conduct committee work sessions and bilateral meetings with other community groups.

In the last quarter of 2020, GAC leadership and membership continue their work on a number of key topics that demand attention. These topics include subsequent procedures for potential new rounds of generic top-level domains; WHOIS and data protection policy, including follow-up on Phase 2 of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data; Domain Name System (DNS) abuse mitigation measures; and rights protection mechanisms for intergovernmental organizations (IGOs). The GAC schedule for ICANN69 will include opportunities for discussions on a number of these topics.

Building on successful virtual experiences at ICANN67 and ICANN68, the GAC schedule will enable participants to devote time to both GAC-specific sessions as well as cross-community discussions on new gTLD subsequent procedures and rights protection management. Feedback from participants during ICANN67 and ICANN68 confirmed the value of this scheduling approach, because it will provide for GAC-focused time followed by community discussions that can lead to follow-up internal conversations and planning.

Expanding the Scope of the GAC Interactions

The GAC has expanded the scope of its meeting interactions to include community dialogues on targeted "bilateral" calls and meetings in the time leading up to ICANN69. This more expansive consideration of bilateral community interactions will allow the GAC to concentrate on direct internal member discussions during ICANN69 and enable the committee to conduct fulsome information exchanges with other communities, including the At-Large Advisory Committee (ALAC), the Country Code Names Supporting Organization (ccNSO), and the Generic Names Supporting Organization (GNSO). An interesting consequence of these bilateral exchanges is that they have led to more intersessional collaboration between the GAC and other ICANN community groups throughout the year. The GAC leadership hopes to build on those connections after ICANN69.

The Regular GAC Meeting Agenda

In addition to the tremendous focus on subsequent procedures for new gTLDs, a topic of substantial focus and energy for GAC members over the past year, the GAC regular public meeting agenda for ICANN69 features a number of other substantive topics and work efforts. including planning and developments regarding implementation of accountability recommendations from Work Stream 2, updates on DNS abuse mitigation, follow-up on recent developments regarding WHOIS and data protection matters, drafting of the GAC ICANN69 Communiqué, and interactions with the ICANN Board. The GAC chair will also report to the GAC on recent work progress and committee accomplishments since ICANN68.

Each day during previous virtual meetings (ICANN67 and ICANN68) GAC leadership held an update call with the GAC members who found it difficult to participate remotely due to time zone issues. Those updates were highly valued by participants who attended the meeting from different time zones. With ICANN69 taking place in the UTC+2 time zone, the update calls for GAC members will be scheduled again in order to provide basic information about previous sessions held that day, and to offer a preview of sessions and topics expected for the day ahead.

Governmental Advisory Committee (GAC)

Background

The GAC provides advice on the public policy aspects of ICANN's responsibilities with regard to the DNS. The GAC is not strictly a decision-making body, but also advises ICANN on issues that are within its scope. GAC consensus advice has a particular status under the ICANN Bylaws. Such advice must be duly taken into account by the ICANN Board. If the ICANN Board proposes actions that would be inconsistent with GAC consensus advice, it must provide reasons for doing so and attempt to reach a mutually acceptable solution.

GAC membership consists of national governments and distinct economies recognized in international forums. Multinational governmental and treaty organizations as well as public authorities participate in the GAC in an observer capacity. There are currently 178 GAC members and 38 GAC observer organizations

Further information about the GAC and its various work efforts can be found on the GAC website.

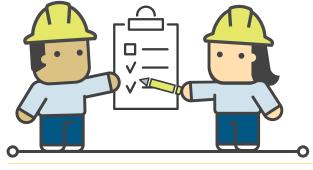
Session Details

Session times provided in this report are in UTC+2. Please refer to the ICANN69 schedule for the most current information.

GAC plenary sessions will start on the morning of Monday 19 October and are scheduled to go until Thursday 22 October. The GAC will take active part in community plenary sessions scheduled during the week. The GAC has worked to integrate its agenda with those plenary sessions as much as possible. More details regarding particular GAC plenary sessions can be found on the GAC ICANN69 Meeting Schedule Page, in which all session briefings, materials, and presentations will be published.

All GAC plenary sessions will be open to the entire ICANN community. Each GAC session will feature simultaneous remote interpretation in the six UN languages. The GAC is pleased that ICANN org has again offered this opportunity for ICANN69. This experience will continue to be closely monitored to gauge its technical effectiveness for use during future meetings.

The GAC Communiqué drafting sessions are scheduled for Wednesday 21 October and Thursday 22 October. The GAC has devoted considerable time to Communiqué drafting during previous virtual meetings this year. Based on this successful experience, the GAC has scheduled over five hours of drafting time during ICANN69.



Root Server System Advisory Committee (RSSAC)

What to Expect

The Root Server System Advisory Committee (RSSAC) will conduct two working sessions during Community Days to advance the work of two RSSAC Caucus work parties in order to gather a local perspective on the Root System Work Party and the effects and mitigations of a Rogue Root Server Operator. The RSSAC will have a joint meeting with the ICANN Board and the Root Server System Governance Working Group. The RSSAC Caucus will conduct its second meeting of the year.

Background

The <u>RSSAC</u> advises the ICANN community and Board on matters relating to the operation, administration, security, and integrity of the Root Server System (RSS). The RSSAC consists of representatives from the Root Server Operator organizations and liaisons from the partner organizations involved in the technical and operational management of the Root Zone.

The <u>RSSAC Caucus</u> is comprised of DNS experts who have an interest in the RSS, broadening the base of diverse technical expertise available for RSSAC work. The primary role of the RSSAC Caucus is to perform research and produce publications on topics relevant to the mission of the RSSAC.

The RSSAC Caucus Membership Committee appoints members of the RSSAC Caucus. In addition, all RSSAC members are members of the RSSAC Caucus. Currently, there are two active <u>work parties</u> in the RSSAC Caucus. One party is developing a tool to gather a local perspective on the Root Server System, and a second is focused on the effects and mitigations of a Rogue Root Server Operator.

The RSSAC Membership Committee recently conducted an annual membership survey for the RSSAC Caucus members. Findings from the membership survey will be shared during the RSSAC Caucus meeting on 13 October.

Session Details

Session times provided in this report are in UTC+2. Please refer to the <u>ICANN69 schedule</u> for the most current information.

RSSAC Work Sessions

Tuesday, 13 October | 16:00-17:00 Thursday, 15 October | 16:00-17:00

RSSAC Caucus Meeting

Tuesday, 13 October | 14:00-15:30

Joint Meeting: ICANN Board and RSSAC with RSS GWG

Wednesday, 14 October | 14:00-15:00

Security and Stability Advisory Committee (SSAC)

What to Expect

The SSAC will hold a workshop on DNS Security Extensions (DNSSEC) and security during ICANN69. DNSSEC continues to be deployed around the world at an accelerating pace. Now that DNSSEC has become an operational norm for many registries, registrars, and Internet service providers, come and hear about what they are learning as they deploy and manage DNSSEC.

The SSAC recently published two documents:

- SAC112: Minority Statement on the Final Report of the Temporary Specification for gTLD Registration Data Phase 2 Expedited Policy Development Process (EPDP)
- SAC113: SSAC Advisory on Private-Use TLDs

In SAC112, the SSAC explained why it cannot endorse the Final Report on the Phase 2 of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data PDP at the time of its publication. The SSAC provided overarching comments on the Final Report and specific objections to four recommendations. In SAC113, the SSAC recommends that the ICANN Board ensure that a string is identified by using specified criteria and that it is reserved at the top level for private use.

Background

What is the SSAC?

The SSAC advises the ICANN community and ICANN Board on matters relating to the security and integrity of the naming and address allocation systems of the Internet. This includes operational matters such as those pertaining to the correct and reliable operation of the root name system; administrative matters such as those pertaining to address allocation and Internet number assignment; and registration matters such as those pertaining to registry and registrar services like WHOIS.

How does the SSAC operate?

The SSAC produces reports, advisories, and comments on a range of topics. Reports are longer, substantive documents, which usually take several months to develop. Advisories are shorter documents produced more quickly to provide timely advice to the community. Comments are responses to reports or other documents prepared by others, such as ICANN org, Supporting Organizations, other Advisory Committees, and other groups outside of ICANN. The SSAC considers matters pertaining to the correct and reliable operation of the Root Name System, to address allocation and Internet number assignment, and to registry and registrar services such as WHOIS. The SSAC also tracks and assesses threats and risks to the Internet naming and address allocation services. For more information, please visit the SSAC website.

How do I participate in the SSAC?

For information on how to participate in the SSAC, see the SSAC Operational Procedures and the SSAC Introduction.

Security and Stability Advisory Committee (SSAC)

Session Details

Session times provided in this report are in UTC+2. Please refer to the ICANN69 schedule for the most current information.

SSAC Public Meeting

Tuesday, 20 October | 14:30-16:00

The SSAC will review its recent publications and ongoing work parties for the ICANN community. The open session will include a question and answer segment. The SSAC invites anyone interested in its work related to the security and integrity of the naming and address allocation systems of the Internet to participate.

DNSSEC and Security Workshop

Wednesday, 21 October | 12:30-14:00, 14:30-16:00, 16:30-17:30

This session is a public presentation and discussion with those actively engaged in the deployment of DNSSEC. Registries, registrars, ISPs, and others who plan to deploy DNSSEC services will benefit from the presentation and discussion of the deployment experience. Anyone with an interest in the deployment of DNSSEC and these new topics, particularly registry, registrar, and ISP employees with technical, operational, and strategic planning roles may want to attend.

The original DNSSEC Workshop has been a part of ICANN Public Meetings for many years and has provided a forum for both experienced and new people to meet, present, and discuss current and future DNSSEC deployments. The new DNSSEC and Security Workshop has added a focus on security to address various emerging security-related issues such as DNS over TLS/DNS over HTTPS (DoT/DoH) impacts and potential abuses, impacts of Resource Public Key Infrastructure (RPKI) deployments, Border Gateway Protocol (BGP) hijacking, and other Internet-related routing issues.

