IANA Stewardship Transition Process

09 March 2016
Implementation Planning Update
RZMS, RZMA & Names SLEs

<table>
<thead>
<tr>
<th>Project</th>
<th>Description</th>
<th>Plan Completion Date</th>
<th>Status</th>
<th>% Complete</th>
</tr>
</thead>
<tbody>
<tr>
<td>RZMS changes to remove RZA role and support parallel testing</td>
<td>Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).</td>
<td>09/30/15 08/15/16</td>
<td>On-track 40%</td>
<td></td>
</tr>
<tr>
<td>Names SLEs (SLEs for Naming Community)</td>
<td>RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.</td>
<td>09/30/15 08/15/16</td>
<td>On-track 15%</td>
<td></td>
</tr>
<tr>
<td>RZMA (Agreement with Root Zone Maintainer)</td>
<td>Completion of draft RZMA.</td>
<td>01/31/16 02/29/16</td>
<td>Behind schedule, but recovery still possible</td>
<td>90%</td>
</tr>
</tbody>
</table>

Key Dates:

- ICANN’s RZMS code changes (RZA)
- Existing data Parsing conclusions shared
- ICANN’s RZMS code changes (SLEs)
- RZMA ready for public review
- VRSGN’s RZMS code changes (parallel testing)
- SLA targets defined
- End parallel testing
- Remove RZA Role in production RZMS
- Insert SLAs into ICANN-PTI contract
- SLA dashboard ready

Project Updates:
- Met with DT-A on 07 March to agree on future work phases and approach
- Met with Verisign to finalize the remaining issues
- Plan completion date for RZMS and Names SLEs changed to 15 August due to NTIA’s requirement

Upcoming Activities:
- Finalize draft RZMA
- Begin tool development to aggregate and convert data

Open Items:
- Pending Verisign’s code changes to start parallel testing

* All dates are estimates

DRAFT for Discussion
### PTI

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>PTI (Post-Transition IANA)</td>
<td>Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).</td>
<td>09/30/16 08/15/16</td>
<td>10%</td>
<td></td>
</tr>
</tbody>
</table>

#### Key Dates:
- PTI entity legally formed
- ICANN-PTI contract ready for public review
- PTI budget adopted by ICANN Board
- PTI implementation plan ready for public review
- 501c3 status ready

#### Updates:
- Working with CWG on engagement process to implement PTI
- Plan completion date for PTI changed to 15 August due to NTIA’s requirement

#### Upcoming activities:
- Engagement with CWG on PTI implementation

#### Open Items:

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* All dates are estimates
## IPR, RZERC, CSC & Escalation Processes

<table>
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<th>Plan Completion Date</th>
<th>Status</th>
<th>% Complete</th>
</tr>
</thead>
<tbody>
<tr>
<td>IANA IPR</td>
<td>Transfer of IANA IPR to a neutral trust.</td>
<td></td>
<td></td>
<td>0%</td>
</tr>
<tr>
<td>RZERC (Root Zone Evolution Review Committee)</td>
<td>Formation of committee to advise ICANN Board on RZMS architectural and operational changes.</td>
<td>09/30/16 08/15/16</td>
<td></td>
<td>10%</td>
</tr>
<tr>
<td>CSC (Customer Service Standing Committee)</td>
<td>Formation of committee to monitor PTI performance.</td>
<td>09/30/16 08/15/16</td>
<td></td>
<td>10%</td>
</tr>
<tr>
<td>Escalation Processes</td>
<td>Updating of existing IANA operational complaint and escalation processes.</td>
<td>09/30/16 08/15/16</td>
<td></td>
<td>10%</td>
</tr>
</tbody>
</table>

### Key Dates:

- **RZERC** implementation plan ready for review
- **Escalation Processes** implementation plan ready for review
- **CSC** implementation plan ready for review

### Project Update:
- Working with CWG on engagement process to implement RZERC, CSC, and Escalation Processes
- Plan completion date for RZERC, CSC, and Escalation Processes changed to 15 August due to NTIA’s requirement

### Upcoming Activities:
- Engagement with CWG on PTI implementation

### Open Items:
- Waiting on implementation requirements for IANA IPR

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*All dates are estimates*
## Enhancing ICANN’s Accountability

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</thead>
<tbody>
<tr>
<td>ICANN’s Bylaws</td>
<td>Updating of ICANN Bylaws.</td>
<td>06/09/16 05/27/16*</td>
<td>Green</td>
<td>10%</td>
</tr>
<tr>
<td>IRP Enhancements</td>
<td>Implement enhancements to Independent Review Process.</td>
<td>09/30/16 08/15/16</td>
<td>Black</td>
<td>0%</td>
</tr>
<tr>
<td>RR Enhancements</td>
<td>Implement enhancements to ICANN's reconsideration request process.</td>
<td>09/30/16 08/15/16</td>
<td>Black</td>
<td>0%</td>
</tr>
<tr>
<td>Empowered Community</td>
<td>Implement processes and mechanisms in support of the empowered community.</td>
<td>09/30/16 08/15/16</td>
<td>Black</td>
<td>0%</td>
</tr>
</tbody>
</table>

*Assuming a 90-days NTIA inter-agency review period

### Project Update:
- The representatives from the CWG, CCWG, NTIA, Board, and staff met 08 March to discuss Bylaws drafting process and timeline
- Plan completion date for all projects changed to 15 August due to NTIA’s requirement

### Key Dates:
- **C**: Bylaws posted for public comments
- **a**: ICANN Board adopts Bylaws

*Key dates are based on assumption of proposals being delivered at ICANN55.

### Upcoming Activities:
- Final language of CCWG proposal

### Open Items:
- Final language of CCWG proposal

[DRAFT for Discussion]

*All dates are estimates*
Implementation of CWG-Stewardship Proposal: What does the ccNSO need to do?
### Post-Transition New Entities

<table>
<thead>
<tr>
<th>Entity</th>
<th>Description</th>
<th>Occurrence</th>
<th>Classification</th>
</tr>
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<tbody>
<tr>
<td>Customer Standing Committee (CSC)</td>
<td>To monitor the performance of the PTI</td>
<td>On-Going</td>
<td>Standing Committee</td>
</tr>
<tr>
<td>Root Zone Evolution Review Committee (RZERC)</td>
<td>To advise the ICANN Board of the architectural and operational changes to the root zone environment</td>
<td>As needed</td>
<td>Standing Committee</td>
</tr>
<tr>
<td>IANA Function Review Team (IFRT)</td>
<td>To review PTI’s SOW and PTI’s performance against ICANN-PTI contract and SOW</td>
<td>Periodic (Within 2 years from the transition, then no more than every 5 years thereafter)</td>
<td>Cross Community Working Group</td>
</tr>
<tr>
<td>Special IANA Function Review Team (Special IFRT)</td>
<td>To review the issues identified by CSC (only ccNSO and GNSO can initiate Special IFR)</td>
<td>As needed</td>
<td>Cross Community Working Group</td>
</tr>
<tr>
<td>Separation Cross-Community Working Group (SCWG)</td>
<td>To review the issues raised by the Special IFR and make recommendation</td>
<td>As needed</td>
<td>Cross Community Working Group</td>
</tr>
<tr>
<td>[CSC Charter Review]</td>
<td>To review CSC Charter</td>
<td>As needed (1 year after the first CSC meeting, then as requested thereafter)</td>
<td>Cross Community Working Group</td>
</tr>
<tr>
<td>[CSC Effectiveness Review]</td>
<td>To review the effectiveness of CSC</td>
<td>Periodic (2 years after the first CSC meeting, then every 3 years thereafter)</td>
<td>Cross Community Working Group</td>
</tr>
<tr>
<td>[CSC Service Level Target Review]</td>
<td>To review the requests of review or change to the service level targets</td>
<td>As needed</td>
<td>Cross Community Working Group</td>
</tr>
</tbody>
</table>
Required Work for Transition

- Appointment of CSC members
- Approval of the whole composition of CSC
- Appointment of RZERC member
Customer Standing Committee (CSC)

**Members:** ccTLD ROs | gTLD ROs | TLD Rep (non g/ccTLD) | PTI

**Liaisons:** GAC | NRL/ASO | RSSAC | SSAC | GNSO (non-registry) | ALAC

**Activity:**
- Ongoing membership management

**Touch point with ccNSO:**
- Reappointment due to recall or expiration of term

**Activity:**
- Monitor PTI performance against agreed service level targets

**Touch point with ccNSO:**
- Review CSC escalated issues and decide whether to take further action with GNSO

**Activity:**
- Provide regular updates to the direct customers of the IANA naming function

**Touch point with ccNSO:**
- Provide briefing to the ccNSO and RySG 3 times a year (i.e. ICANN meeting)
Timing of CSC Constitution

ICANN outreach

SO/ACs appoint candidates

ICANN sends SO/AC selected candidates to ccNSO/GNSO

CSC constituted

ICANN submits implementation report to NTIA

Expiration of IANA contract

Mar | Apr | May | Jun | Jul | Aug | Sep | Oct

Deadline

15

30
Root Zone Evolution Review Committee (RZERC)

Members: ccNSO | GNSO RySG | ASO | IETF | RSSAC | SSAC | RZM | IFO | ICANN Board

Activity:
• Ongoing membership management

Touch point with ccNSO
• Reappointment due to recall or expiration of term

Activity:
• TBD per RZERC Charter

Touch point with ccNSO
• TBD
Timing of RZERC Constitution

- ICANN outreach
- Candidates appointment
- RZERC constituted

Timeline:
- ICANN submits implementation report to NTIA: Jun 15
- Expiration of IANA contract: Oct 30

Important Dates:
- ICANN outreach
- Candidates appointment
- RZERC constituted

Deadline
IANA Function Review Team (IFRT)

**Members:** ccNSO | ccTLD (non-ccNSO) | RySG | RrSG | CSG | NSCG | RSSAC | GAC | ALAC | CSC

**Activity:**
- Appoint member

**Touch point with ccNSO**
- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations

**Activity:**
- Review and recommend changes to IANA SOW

**Touch point with ccNSO**
- Provide ratification to IANA SOW amendment with GNSO
Special IANA Function Review Team (Special IFRT)

Members: ccNSO | ccTLD (non-ccNSO) | RySG | RrSG
CSG | NSCG | RSSAC | GAC | ALAC | CSC
Numbers Community | Protocol Community

Activity:
- N/A

Touch point with ccNSO:
- Review CSC escalated issues with GNSO to determine whether to trigger a Special IFR

Activity:
- Appoint member

Touch point with ccNSO:
- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations

Activity:
- Recommend the creation of the Separation Cross-Community Working Group (SCWG)

Touch point with ccNSO:
- Approve the creation of the SCWG with GNSO
Separation Cross Community Working Group (SCWG)

**Members:** ccNSO | ccTLD (non-ccNSO) | RySG | RrSG | CSG NSCG | RSSAC | SSAC | GAC | ALAC | CSC | IFRT Numbers Community | Protocol Community

**Activity:**
- Appoint member

**Touch point with ccNSO**
- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations

**SCWG**
**CSC Charter Review**

**Members:**
- ccNSO
- RySG

**Activity:**
- Appoint member

**Touch point with ccNSO**
- Appoint representatives from ccNSO

**Activity:**
- Make recommendation to change CSC Charter

**Touch point with ccNSO**
- Provide ratification to recommended changes with GNSO
[CSC Effectiveness Review]

Activity:
• TBD

Touch point with ccNSO
• Determine methods of review with GNSO

Members: TBD
CSC Service Level Target Review

Activity:
- Recommend change to the service level targets

Touch point with ccNSO
- Provide ratification to proposed changes to service level targets with GNSO

Members:
- ccNSO
- GNSO
 Appeal mechanism. An appeal mechanism, for example in the form of an Independent Review Panel, for issues relating to the IANA functions. For example, direct customers with non-remediated issues or matters referred by ccNSO or GNSO after escalation by the CSC will have access to an Independent Review Panel. **The appeal mechanism will not cover issues relating to ccTLD delegation and redelegation, which mechanism is to be developed by the ccTLD community post-transition.**