Pre-ICANN63 Policy Report





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Welcome to ICANN63



Welcome to Barcelona! I am pleased to present the Pre-ICANN63 Policy Report written by the Policy Development Support department. This report provides high-level overview of the planned work and activities of the Supporting Organizations and Advisory Committees at ICANN63.

ICANN63 is the Annual General Meeting for the ICANN community, Board, and organization. This meeting showcases the work of ICANN. ICANN63 will feature two Public Forum sessions, a public meeting of the ICANN Board, one cross-community session, two high interest topic sessions, as well as outreach and engagement activities.

We will also celebrate our community at ICANN63. During the Community Recognition Program on Thursday, 25 October, we will recognize the contributions of leaders from across the Supporting Organizations, Advisory Committees, and the Nominating Committee. Later that day, we will have the ICANN 20th Anniversary Celebration where we will toast ICANN's work since its inception in 1998. We hope you will join us for both of these special moments.

A global community of stakeholders and participants from many backgrounds with various points of view help coordinate and support the unique identifiers of the Internet. The Internet functions for everybody because everybody is invited to help make it work. Consensus policies developed through the multistakeholder model are highly effective and have the greatest legitimacy.

Thank you to our generous hosts and to all of you for making the considerable commitment of time and effort to attend this meeting. No matter if you are an ICANN regular, an occasional participant, or a newcomer, I encourage you to actively engage in this meeting.

Best regards,

David Olive Senior Vice President, Policy Development Support

Address Supporting Organization (ASO)

What to Expect

In January 2018, the Number Resource Organization Executive Council (NRO EC) <u>responded</u> to the 18 recommendations from the final report of the <u>second organizational review</u> of the ASO carried out in 2017 by ITEMS International. The last of these recommendations called for a public consultation be held in each of the five Regional Internet Registry (RIR) communities to determine the future structure of the ASO.

The recommended **public consultations** have taken place in each RIR community over the past six months, including discussions on mailing lists and at meetings in each of the five regions. The NRO EC considered the results of this process to date and distilled the following points as a proposed way forward.

- While not specifically asked as part of the public consultations, there was a prevailing view across the RIR communities that the number community's relationship with ICANN should continue. A significant number of community members suggested that the relationship must be considerably simplified and its focus limited to number community matters.
- 2. The organizational review recognized that the number community's relationship with ICANN is unlike that of other Supporting Organizations. The number community's engagement with ICANN serves primarily to facilitate coordination of global Internet number resource policies. It follows that number community representatives be careful in appraising current and future proposed ICANN-related work items and be prepared to decline those that lie outside this scope.
- 3. It is clear that the existence of two separate identities (those of the NRO and the ASO) leads to complexity and confusion in the number community's relationship with ICANN. The NRO EC will work with the ICANN organization to determine the viability of consolidating the number community's participation in ICANN under either the "Number Resource Organization" or the "Address Supporting Organization."
- 4. The number community finds value in its relationship with ICANN and in ICANN's defined role as previously described. The NRO EC supports ICANN in continuing to undertake this role, and will support continuing evolution of the number community's relationship with ICANN, including further changes as needed over time.

The NRO EC provided these points to the RIR communities as a possible common way forward to aid in their consideration of the future structure of the ASO.

Background

The ASO was established in 2003 through a <u>Memorandum of Understanding</u> (MoU) between ICANN and the NRO, representing the Internet number community and the five autonomous RIRs: African Network Information Centre (AFRINIC), Asia Pacific Network Information Centre (APNIC), American Registry for Internet Numbers (ARIN), Latin American and Caribbean Internet Addresses Registry (LACNIC), and Réseaux IP Européens Network Coordination Centre (RIPE NCC).

Through the MoU, the NRO Number Council acts as the ASO Address Council (ASO AC) at ICANN. The ASO AC coordinates the global policy development work of the Internet number community and appoints members to the ICANN Board of Directors, the Nominating Committee, and other ICANN groups. In September 2018, the ASO AC **issued a call for nominations** for Seat 10 of the ICANN Board.

Address Supporting Organization (ASO)

The ASO AC consists of 15 members, three from each region. For 2018, Aftab Siddiqui serves as chair, with Kevin Blumberg and Ricardo Patara serving as vice chairs; they represent the APNIC, ARIN, and LACNIC regions, respectively.

Paul Wilson, Alan Barrett, and Axel Pawlik are serving as chair, vice chair/secretary, and treasurer of the NRO EC for 2018, respectively.

Session Details

Session times provided in this report are in local time. Spain currently observes Central European Summer Time (UTC+2). Room assignments are current at the time of publication. However, session times and room assignments are subject to change. Please refer to the **ICANN63 schedule** for the most current information, including remote participation details.

The ASO will have three open sessions at ICANN63:

ASO Address Council Workshop Monday, 22 October | 15:15-18:30 | Room 127/128

Joint Meeting: ASO and ICANN Board Tuesday, 23 October | 08:30-09:30 | Room 111/112

ASO Update

Tuesday, 23 October | 10:30-12:00 | Room 127/128

The ASO conducts policy development work at Regional Internet Registry meetings and on mailing lists, and the ASO AC had its annual meeting at ICANN61.

To stay informed of the latest developments, subscribe to the Regional Internet Registry policy mailing lists:

- AFRINIC Resource Policy Discussion
- APNIC Policy Special Interest Group
- ARIN Public Policy
- LACNIC Politicas
- <u>RIPE NCC Address Policy Working Group</u>



Country Code Names Supporting Organization (ccNSO)

What to Expect

The ccNSO program for the country code top-level domain (ccTLD) community and other interested parties starts on Saturday, 20 October, when ccNSO working groups and committees will meet. ccNSO working group meetings continue on Sunday, 21 October, featuring a TLD-OPS workshop on business continuity and disaster recovery. Tech Day, a workshop open to all community members with an interest in technical, operational, and security topics, is scheduled for Monday, 22 October. Tuesday, 23 October and Wednesday, 24 October, are dedicated to the ccNSO Members Meeting, when the ccTLD community and other interested parties will discuss and receive updates on topics relevant to ccTLD managers. The ccNSO Members Meeting is open to all with an interest in ccTLD-related topics. The detailed ccNSO schedule, including session summaries and remote participation details for ICANN63, is available on the ccNSO ICANN63 workspace.

Background

The <u>ccNSO</u> is a body within ICANN created for and by ccTLD managers. Since its creation in 2003, the ccNSO has evolved to a forum for ccTLD managers to meet and discuss topics and issues of concern from a global perspective. The ccNSO provides a platform to nurture consensus, technical cooperation, and skill-building among ccTLDs, and facilitates the development of voluntary best practices for ccTLD managers. It is also responsible for developing and recommending global policies to the ICANN Board for a limited set of issues relating to ccTLDs, such as the retirement of ccTLDs. Membership in the ccNSO is open to all ccTLD managers responsible for managing an ISO 3166 ccTLD.

The ccNSO is administered by the ccNSO Council, which consists of 18 councilors (15 elected by ccNSO members, three appointed by the ICANN Nominating Committee). The councilors are actively involved in determining the work and direction of the ccNSO. They manage the policy development process, lead and participate in various ccNSO working groups, engage with the community on topical issues, and develop positions based on community feedback. The ccNSO Council meets regularly at ICANN Public Meetings and on monthly teleconferences. All Council documents, minutes of meetings, resolutions, and discussions are published on the ccNSO Council workspace.

Consult the "Quick Guide to the ccNSO" to learn more about its work and how it is organized. The ccNSO has a monthly newsletter with articles and information relevant to ccNSO members and the broader ccTLD community. <u>Subscribe</u> or consult the <u>archive</u> for previous ccNSO newsletter editions.

Session Details

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TLD-OPS Disaster Recovery Workshop

Sunday, 21 October | 13:30-18:30 | Room 114

The workshop is open to all ccTLDs; however, they are required to **RSVP** ahead of time. The goal of the workshop is to have an in-depth discussion focused on the various aspects of disaster recovery that are specific to ccTLDs, including elements relating to detection, activation, analysis, and action and communications planning. The ccTLD-specific components in scope could be the DNS, registry, registrants, corporation, and staff. This will be a collaborative session where each participant will be expected to share experiences and lessons learned. The expected outcome is to collect the disaster recovery experience, to share best practices, and to gather feedback and examples.

Country Code Names Supporting Organization (ccNSO)

A briefing on the outcome of the TLD-OPS Disaster Recovery Workshop will be provided during <u>ccNSO Members Meeting</u> <u>Day 2</u>, 09:00-09:30 on Wednesday, 24 October in Room 114.

Tech Day

Monday, 22 October | 09:30-18:45 | Room 114

Tech Day has been a part of ICANN Public Meetings for several years and provides a forum for both experienced people and newcomers to meet and to present and discuss technical registry topics, security, and DNS-related work. At ICANN63, Tech Day will focus on disaster recovery and mitigation. Other topics of interest include registry security, DNS and Anycast, big data and associated data analysis, IETF DNS protocol updates, and recent DDoS attacks and mitigation.

ccNSO Members Meeting Day 1 | ccNSO Working Group Updates: Study Group on Emoji as Second-Level Domains Tuesday, 23 October | 09:30-10:15 | Room 114

The ccNSO Council established this study group to provide a comprehensive overview of the issues associated with

- The use of emoji as second-level domains as documented in <u>SAC095</u>
- The need for and current practice by ccTLD managers to allow emoji as second- level domains

The study group started collecting the input and viewpoints from ccTLD managers that offer emoji as second-level domains. If considered appropriate by the study group, it may advise on a course of further actions. The study group intends to deliver its final report by ICANN64.

ccNSO Members Meeting Day 1 | Policy Session: Policy Development Process on the Retirement of ccTLDs Tuesday, 23 October | 10:30-11:30 | Room 114

The ccNSO Retirement Policy Development Process (PDP) Working Group will meet 15:15-18:30 on Saturday, 20 October to continue its discussions. The group will provide a briefing to the ccTLD and broader communities during the <u>ccNSO</u> <u>Members Meeting Day 1</u> on Tuesday, 23 October. The goal of this ccNSO PDP, the third initiated by the ccNSO Council, is to develop and recommend policies to the ICANN Board of Directors pertaining to:

- The retirement of ccTLDs
- Review mechanisms for decisions relating to the delegation, transfer, revocation, and retirement of ccTLDs

Consult the working group charter, work plan, and other relevant documents on its website and workspace.

ccNSO Members Meeting Day 1 | Policy Session: IDN ccTLD Recommended Policy Review

Tuesday, 23 October | 10:30-11:30 | Room 114

In September 2013, the ccNSO concluded its work on the overall policy for selection of Internationalized Domain Name (IDN) ccTLD strings and inclusion of IDN ccTLDs in the ccNSO. This recommended policy was developed to replace the Fast Track process. Pending further development of the Fast Track process, the ccNSO agreed to defer adoption in order to gain experience with the changing methods. Five years have passed since the policy was adopted, and the proposals need to be reviewed. This briefing is intended to inform the ccTLD community and other interested parties on the areas that need to be reviewed.

Country Code Names Supporting Organization (ccNSO)

ccNSO Members Meeting Day 1 | CSC Effectiveness Review

Tuesday, 23 October | 16:15-16:45 | Room 114

The first meeting of the **Customer Standing Committee (CSC)** took place in 2016. Per its charter and the ICANN Bylaws, the effectiveness of the CSC will initially be reviewed two years after its first meeting and then every three years. The first review will begin at ICANN63. The Generic Names Supporting Organization (GNSO) and ccNSO Councils recently adopted a method for a lightweight and brief CSC Effectiveness Review. The review builds on the outcome and findings of the CSC Charter Review. The results, as adopted by both the GNSO and ccNSO Councils, will feed into the IANA Functions Review (IFR).

ccNSO Council Meeting

Wednesday, 24 October | 17:15-18:30 | Room 114

Consult the agenda and relevant background material for the ccNSO Council meeting on the on the **ccNSO Council workspace**.



What to Expect

The Generic Names Supporting Organization (GNSO) is the policymaking body responsible for generic top-level domains (gTLDs). Its members include representatives from gTLD registries, ICANN-accredited registrars, intellectual property interests, Internet service and connectivity providers, business, and noncommercial interests. The GNSO brings together these different stakeholders, as well as other parts of the ICANN community, to develop gTLD policy recommendations using a bottom-up, multistakeholder process driven by working groups consisting of community volunteers.

One important area of ongoing work for the GNSO is the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. The launch of the EPDP marked a major milestone for the GNSO and ICANN as the first EPDP initiated by the GNSO. The EPDP is now underway, and the team has submitted its first deliverable to the GNSO Council, the Triage Report. At ICANN63, the EPDP Team will share its progress with the community, with the goal to publish an Initial Report for public comment shortly after ICANN63.

Other GNSO PDP working groups will also hold sessions to make progress on policy development efforts. Following the publication of its Initial Report, the New gTLD Subsequent Procedures PDP Working Group will be reviewing public comments it received. It will also discuss its draft Supplemental Initial Report, a secondary report that targets five additional topics the working group believes may not have been adequately covered in the Initial Report. The Review of All Rights Protection Mechanisms (RPMs) in All gTLDs PDP Working Group is planning to wrap up its review of the Uniform Rapid Suspension (URS) procedure and begin analysis of results from the Sunrise and Trademark Claims survey.

ICANN63 marks a time of transition for the GNSO Council, concluding with the departure of outgoing Council members, the seating of newly appointed Council members, as well as the election of a new GNSO Chair and Council Vice Chairs. It provides an opportunity for the GNSO to review the status of the PDP 3.0 initiative, an important effort focusing on identifying and evaluating potential incremental changes to enhance the efficiency and effectiveness of the GNSO PDP. During its working session on Sunday, 21 October, the GNSO Council will consider which PDP 3.0 recommendations should be implemented.

The GNSO will also engage with other parts of the ICANN community to discuss topics of common interest in joint meetings. All ICANN community members are welcome to attend and observe the different PDP working group sessions, as well as the GNSO Council and the GNSO community sessions.

Background

EPDP on the Temporary Specification for gTLD Registration Data

On 17 May 2018, the ICANN Board approved the Temporary Specification for gTLD Registration Data. The aim is to allow contracted parties to comply with existing ICANN contractual requirements while also complying with the European Union's General Data Protection Regulation (GDPR). The adoption of the Temporary Specification triggered the requirement for the GNSO to complete a consensus PDP within a one-year period.

On 19 July 2018, the GNSO Council initiated the EPDP on the Temporary Specification for gTLD Registration Data. The EPDP scope includes confirming, or not, the Temporary Specification by 25 May 2019. The scope also includes discussion of a standardized access model to nonpublic registration data, which will occur only after the EPDP Team has comprehensively answered a series of "gating questions" specified in its charter. The EPDP Team consists of appointed <u>representatives and liaisons</u> from the GNSO, the broader ICANN community, the ICANN Board, and the ICANN organization.

On 15 September, the EPDP Team submitted its first deliverable, the **Triage Report**, to the GNSO Council. This report documents the level of agreement within the EPDP Team on specific provisions in the Temporary Specification. The EPDP Team has now shifted its focus to the substance of the Temporary Specification and the charter questions, deliberating on issues affected by European Data Protection Board Advice and areas where amendments may be desirable. The EPDP Team met in Los Angeles from 24-26 September 2018 to further its deliberation. To meet the set timeline, the EPDP Team plans to publish its Initial Report shortly after ICANN63.

At ICANN63, the EPDP Team will organize four meetings, including a full-day EPDP Team session on Saturday, 20 October. In addition, on Monday, 22 October, the EPDP Team will also host a high interest topic session for the broader community to learn about the status of its deliberations.

New gTLD Subsequent Procedures PDP

The New gTLD Subsequent Procedures PDP Working Group started its work on 22 February 2016. After completing deliberations on a set of six overarching and foundational subjects, the working group established five separate work tracks to address the remaining subjects identified in its charter.

Work Tracks 1-4 completed their preliminary discussions and considered input received through public consultations. On 3 July 2018, the working group published its Initial Report for **public comment**, which included preliminary recommendations/outcomes, as well as identified areas where the working group was specifically seeking community input. A number of comments were received by the 26 September 2018 deadline. Three subgroups will be convened to compile, review, and tabulate the public comments for further analysis by the working group, which will then formulate its final recommendations.

Work Track 5 is devoted solely to the issue of geographic names at the top-level. It has focused on reviewing the existing geographic terms and their respective rules in the 2012 Applicant Guidebook (AGB), considering whether they require modification. It is also considering geographic terms that were not identified in the AGB and if applicable, what the corresponding treatment should be. Work Track 5 seeks to publish its own Initial Report shortly after ICANN63.

The working group will meet for a full day on Saturday, 20 October. During the first half, Work Track 5 is expected to provide updates and seek feedback on preliminary recommendations/outcomes that it may include in its Initial Report, as well as discuss key open issues. During the second half, the full working group will discuss a Supplemental Initial Report which reviews topics additional to those found in its Initial Report already published for public comment.

Review of All RPMs in All gTLDs PDP

The GNSO Council initiated the Review of All RPMs in All gTLDs PDP on 18 February 2016 and chartered the working group in March 2016. This PDP is being conducted in two phases. Phase One covers all the RPMs applicable to gTLDs launched under the 2012 New gTLD Program. In late 2016, the working group completed its review of the Trademark Post-Delegation Dispute Resolution Procedure. It also largely completed an initial review of the structure and scope of the Trademark Clearinghouse (TMCH).

The working group aims to complete the initial review of the URS dispute resolution procedure around ICANN63. Three subteams identified additional data needs and inquiries concerning the URS. Based on feedback provided by URS providers and experienced URS practitioners, as well as analysis of URS cases, the three subteams proposed <u>operational</u> <u>fixes and policy recommendations</u> to enhance the URS mechanism. The working group completed initial deliberations on all subteam proposals in September 2018. Individual members also submitted <u>thirty-three (33) proposals</u> for the working group discussion.

Concurrently, the working group launched an extensive data collection exercise, involving professionally-designed surveys to assist its review of the Sunrise and Trademark Claims services offered through the TMCH. The ICANN organization commissioned Analysis Group, Inc., an external consulting firm, to develop and administer the surveys in collaboration with the working group. The surveys **launched** on 6 September 2018 and were widely distributed inside and outside of the ICANN community. Analysis Group is expected to present initial survey findings at ICANN63. Following its review of the survey results, the working group will begin to develop potential recommendations for Sunrise, Trademark Claims, and the TMCH in general and finalize its preliminary recommendations for all the Phase One RPMs.

Other PDPs

Three other PDPs are finishing their work. Following ICANN61, the leadership of the Next-Generation Registration Directory Service PDP has indefinitely suspended its meetings in light of the activities with respect to GDPR compliance. During its meeting at ICANN63, the GNSO Council is expected to consider whether to terminate the PDP.

The International Governmental Organization and International Non-Governmental Organization Access to Curative Rights Protection Mechanisms PDP Working Group has completed and delivered its **Final Report** to the GNSO Council for consideration.

The GNSO Council reconvened a PDP working group to consider a possible modification to prior GNSO policy recommendations concerning the protection of Red Cross National Society names. The GNSO Council adopted the working group's **Final Report** at its 27 September 2018 meeting. The Final Report will have another round of public comment before the GNSO submits it to the ICANN Board for its consideration.

More Information

To help you prepare for ICANN63, read the **GNSO Policy Briefing**. This document provides you detailed information about GNSO PDP working group and other GNSO policy-related activities, including GNSO co-chartered cross-community working groups and PDP Implementation Review Teams.

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PDP Working Group Meetings

Temporary Specification for gTLD Registration Data

Saturday, 20 October | 08:30-18:30 | Room 115 Sunday, 21 October | 17:00-18:30 | Room 115 Wednesday, 24 October | 17:00-18:30 | Room 115 Thursday, 25 October | 08:30-10:15 | Room 115

New gTLD Subsequent Procedures

Saturday, 20 October | 09:00-18:30 | Room 129/130

Review of All RPMs in All gTLDs

Sunday, 21 October | 13:15-18:30 | Room 131/132 Monday, 22 October | 12:15-13:15 | Room 115

GNSO Meetings

GNSO Working Session Sunday, 21 October | 09:00-15:00 | Room 115

GNSO Council Meeting

Wednesday, 24 October 13:00-16:15 Room 115

GNSO Wrap-Up Session

Thursday, 25 October | 17:00-18:30 | Room 115

Joint Meetings

GNSO and ICANN Board Sunday, 21 October | 12:15-13:15 | Room 115

GNSO and the Governmental Advisory Committee (GAC)

Sunday, 21 October | 15:15-16:45 | Room 115

GNSO and Country Code Names Supporting Organization (ccNSO)

Monday, 22 October | 12:15-13:15 | Room 119

High Interest Topic Session

EPDP on the Temporary Specification for gTLD Registration Data – Review of Draft Report and Recommendations Monday, 22 October | 15:15-16:45 | Room 111/112



What to Expect

At ICANN63, the At-Large community, including the At-Large Advisory Committee (ALAC) members and Regional At-Large Organization (RALO) leaders, will focus on the following activities:

- 1. **Change in ALAC Leadership** Maureen Hilyard will become ALAC Chair as Alan Greenberg steps down after four years.
- 2. At-Large Review Implementation Working Group (ARIWG) The ARIWG will continue to make progress on the 16 issues it is addressing including the ALAC policy advice process, outreach and engagement, and communications.
- 3. At-Large Summit (ATLAS) III The At-Large community will discuss plans for the third At-Large Summit. ATLAS III will take place at ICANN66 and will focus on At-Large Review improvements.
- 4. Policy Advice Development The At-Large community will discuss ongoing policy advice work on the European Union's General Data Protection Regulation (GDPR), the New Generic Top-Level Domain (gTLD) Subsequent Procedures Policy Development Process, and the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data.
- 5. **Regional At-Large Organization (RALO) Activity** Regional leaders will focus on key policy topics and outreach and engagement activities, including the European Regional At-Large Organization (EURALO) virtual General Assembly and African Regional At-Large Organization (AFRALO) hot topics.
- 6. **At-Large Outreach and Engagement Activities** There will be a joint At-Large/Non-Commercial Users Constituency (NCUC) outreach session and a meeting of the Outreach and Engagement Subcommittee.
- 7. At-Large Work At-Large will have 24 sessions with a focus on two main projects and two primary policy issues.

Background

Change in ALAC Leadership

The election of Maureen Hilyard as ALAC Chair was announced during the ICANN62 Policy Forum. Maureen, who is current ALAC Vice Chair and ALAC member from the Asian, Australasian, and Pacific Islands Regional At-Large Organization (APRALO), will become ALAC Chair as Alan Greenberg steps down after four years in the role. Members of a new ALAC Leadership Team (ALT) will also assume their roles during ICANN63. The new ALT will be key in implementing the new organizational structure of At-Large leadership, including a closer relationship between the ALAC and RALO leadership and At-Large working group chairs.

There are also several leadership changes within At-Large (alphabetical by last name):

Incoming ALT

- Sebastien Bachollet, EURALO
- Maureen Hilyard, ALAC Chair, APRALO
- Ricardo Holmquist, Latin American and Caribbean Islands Regional At-Large Organization (LACRALO)
- Tijani Ben Jemaa, AFRALO
- John Laprise, North American Regional At-Large Organization (NARALO)

Departing ALT

- Bastiaan Goslings, EURALO
- Alan Greenberg, ALAC Chair, NARALO
- Bartlett Morgan, LACRALO
- Seun Ojedeji, AFRALO

Incoming Leaders

- Harold Arcos, LACRALO Secretariat
- Humberto Carrasco, ALAC Member, LACRALO
- Tracy Hackshaw, ALAC Delegate to the Nominating Committee, Latin America
- Marita Moll, ALAC Member, NARALO
- Sergio Salinas Porto, LACRALO Chair
- Erich Schweighofer, ALAC Delegate to the Nominating Committee, Europe
- Joanna Kulesza, ICANN Board-Appointed ALAC Member, Europe

Departing Leaders

- Maritza Aguero, LACRALO Secretariat
- Humberto Carrasco, LACRALO Chair
- Alan Greenberg, ALAC Member, NARALO
- Sandra Hoferichter, ALAC Delegate to the Nominating Committee, Europe
- · José Ovidio Salgueiro, ALAC Delegate to the Nominating Committee, Latin America
- Alberto Soto, ALAC Member, LACRALO

At-Large Review Implementation Working Group (ARIWG)

The ICANN Board accepted the At-Large Review during its meeting on 23 June 2018. The <u>resolution</u> noted the ICANN Board received the <u>At-Large Review Final Report</u> from the independent examiner while accepting the <u>Final At-Large</u> <u>Review Recommendations: Feasibility Assessment and Implementation Plan</u> (approved by the ALAC on 22 August 2017) and the <u>At-Large Review Implementation Overview Proposal</u> (approved by the ALAC on 20 April 2018).

The ICANN Board directed the ALAC to convene an <u>At-Large Review Implementation Working Group (ARIWG)</u> to oversee the implementation process of the proposals, including the development of a detailed implementation plan.

Maureen Hilyard, incoming ALAC Chair, leads the ARIWG which was formed after the ICANN62 Policy Forum. The working group has held biweekly meetings with the community since July, developing a new leadership and communications structure between the ALAC and RALO leaders to implement the 16 points of the implementation plan. Assigned topic team leaders are expected to prepare implementation templates for discussion during ICANN63.

At-Large Summit (ATLAS) III

Plans for the third At-Large Summit (ATLAS III) at ICANN66 are moving forward. ATLAS III will be a smaller summit focused on the At-Large Review improvements. In September, the ICANN Board **provided contracting authority** to ICANN org, and an initial logistical plan has been developed. ATLAS III planning will continue during ICANN63.

Policy Advice Development

Since ICANN62, the ALAC has ratified 14 statements (including four soon-to-be ratified statements in advance of ICANN63). ALAC policy advice development during ICANN63 will include a series of policy discussions related to GDPR, the New gTLD Subsequent Procedures PDP, follow up on the KSK Rollover, and continued EPDP activity. All members of the ALT will be participating in the EPDP meetings.

At-Large leaders will also meet to discuss policy issues with other stakeholders, including the Governmental Advisory Committee (GAC), Country Codes Names Supporting Organization (ccNSO), Non-Commercial Stakeholder Group (NCSG), Root Server System Advisory Committee (RSSAC), and Security and Stability Advisory Committee (SSAC).

The **Consolidated Policy Working Group** held weekly calls since ICANN62, developing the ALAC statement on the **Initial Report on the New gTLD Subsequent Procedures Policy Development Process (Overarching Issues & Work Tracks 1-4)** and providing the community with regular updates on the EPDP. The **Social Media Working Group** appointed RALO leads to mobilize the At-Large community to promote the 11 October KSK Rollover on social media. Information about the KSK Rollover was promoted via At-Large Structure (ALS) and ISOC chapter networks, increasing awareness for Internet end users.

Other statements include:

GDPR

- 10 September 2018: IPC/BC Accreditation & Access Model for Non-Public Data v1.7
- September 2018 (in development): <u>ICANN Seeking Community Feedback on Proposed Unified</u>
 <u>Access Model</u>

New gTLD Subsequent Procedures, Work Tracks 1-5

- 31 July 2018: Initial Report on the Protections for Certain Red Cross Names in all gTLDs Policy
 <u>Amendment Process</u>
- 26 September 2018: <u>Initial Report on the New gTLD Subsequent Procedures Policy Development Process</u> (Overarching Issues & Work Tracks 1-4)
- September 2018 (in development): ALAC Ratification of WS2 Final Report and Recommendations
- October 2018 (in development): Proposed gTLD-Registration Data Access Protocol (RDAP) Profile

To see At-Large policy advice activity, please refer to the <u>At-Large Policy Summary</u> and related <u>At-Large Policy Advice</u> <u>Development page</u>.

Regional At-Large Organization (RALO) Activity

The At-Large community consists of over 230 ALSes and 91 individuals in the five RALOs. During ICANN63, regional leaders will focus on key policy topics and outreach and engagement activities, including the EURALO virtual General Assembly and AFRALO hot topics.

The ALAC and regional leaders will review cross-RALO hot policy topics, ALS criteria and expectations, and individual membership procedures, following up from their work during ICANN62 on these topics.

EURALO General Assembly

EURALO will host a virtual General Assembly in Barcelona, Spain, to discuss the work of EURALO and a networking activity to engage with ICANN and the local community. The **General Assembly** will feature special guests: Jean-Jacques Sahel, Vice President, Global Stakeholder Engagement, Europe; Alexandra Kulikova, Head of Global Stakeholder Engagement, Eastern Europe and Central Asia; Leon Felipe Sanchez, ICANN Board; Alan Greenberg, ALAC Chair; and Maureen Hilyard, incoming ALAC Chair. In addition, the **EURALO Individual Users Association** will hold a meeting during ICANN63.

AFRALO Hot Policy Topics

Over the last year, the RALOs have worked with their members to develop draft **RALO Hot Policy Topics** that highlight ICANN-related policy issues of particular interest to Internet end users in their region. These documents will be used for purposes of both engagement and outreach within the RALOs. The regional leaders will discuss the next steps in the implementation of these documents during ICANN63, including possible activities to promote them.

The AFRALO community has finalized their AFRALO Hot Policy Topics document, which will be available for promotion throughout the Africa region and ICANN-related events after ICANN63. The AFRALO community continues to participate and lead sessions in key regional events throughout the year such as: African Network Information Centre meetings, the Africa DNS Forum, Africa Internet Governance Forum, and the Africa Internet Summit. The Hot Policy Topics document will substantially assist in developing awareness of ICANN activity in the region. The joint AFRALO-AfrICANN meeting will focus on the topic of the New gTLD Subsequent Procedures Policy Development Process Initial Report: Rounds and Community Application.

At-Large Outreach and Engagement Activities

The joint At-Large/NCUC Outreach Session for local academia, civil society, and Internet end users builds on the successful joint At-Large/NCUC sessions from ICANN58, 60, 61, and 62. The agenda of policy issues and specific topics will be presented in an interactive town hall format. The exciting session aims to help both newcomers and seasoned community members familiarize themselves with the policy development and to give them background information on the issues both communities are working on, as well as differences and similarities in their positions.

In addition, the <u>ALAC Subcommittee on Outreach and Engagement</u> will meet during ICANN63 to discuss updates on At-Large activities intended to engage current members as well as reach out to potential new members. An At-Large Engagement Booth will be hosted by community volunteers in Barcelona.

At-Large at ICANN63

Please see the <u>At-Large ICANN63 workspace</u> for details about At-Large sessions, especially the sessions related to policy advice discussions and At-Large Review implementation.

Session Details

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Joint Meetings

ALAC Meeting with the SSAC Sunday, 21 October | 11:15-12:00 | Room 116

ALAC Meeting with the RSSAC Sunday, 21 October | 15:15-16:00 | Room 116

ALAC Meeting with the ccNSO Sunday, 21 October | 17:00-18:30

ALAC Meeting with the GAC Tuesday, 23 October | 14:15-15:00 | Room 117

ALAC Meeting with the NCSG Tuesday, 23 October | 18:00-18:30 | Room 116

ALAC Meeting with the ICANN Board Wednesday, 25 October | 08:30-09:30 | Room 111/112

Regional Meetings

At-Large Regional Leaders Meeting Saturday, 20 October | 13:30-15:00 | Room 116

AFRALO-AfrICANN Meeting Monday, 22 October | 13:30-15:00 | Room 116

EURALO General Assembly Wednesday, 24 October | 17:00-18:30 | Room 116

Governmental Advisory Committee (GAC)

What to Expect

The GAC has scheduled more than 30 plenary sessions accounting for over 32 hours of work over four days at ICANN63.

As part of those sessions the GAC will hold joint meetings with the leadership of the Generic Names Supporting Organization (GNSO) and Nominating Committee, the Non-Commercial Stakeholder Group, Intellectual Property Constituency (IPC), Country Code Names Supporting Organization (ccNSO), At-Large Advisory Committee (ALAC), ICANN Board of Directors, and representatives of the Public Technical Identifiers corporation. These meetings are important to maintain channels of information and communication between the GAC and other ICANN community groups and organizational functions.

The High Level Government Meeting

A major aspect of GAC activities at ICANN63 will be the High Level Government Meeting (HLGM) on Monday, 22 October. The concept for such a meeting grew out of recommendations made by previous Accountability and Transparency Review Teams (ATRT1 and ATRT2). Approximately once every two years, one of the GAC members hosts an HLGM in conjunction with an ICANN Public Meeting and in addition to the usual GAC meeting. This year, the Government of Spain has graciously taken on that responsibility.

As envisioned by the ATRT recommendations, the HLGM is intended to provide opportunities for the ICANN community to accomplish five key goals:

- 1. Reaffirm the critical role that governments play in providing advice to the ICANN Board on public policy issues as it relates to the secure and stable functioning of the Domain Name System.
- 2. Enable all parties to gain a clearer understanding of the role of governments in ICANN processes, including the GAC.
- 3. Discuss current public policy issues and challenges at a senior level. These discussions can occur between governments, as well as between governments and the ICANN leadership group.
- 4. Expose senior administrative officials and senior elected officials (e.g., ministers, members of legislative bodies) to ICANN, allowing them to gain a greater understanding of the organization and the issues it deals with. In turn, this may lead to better support for and resourcing of GAC representatives within their home administrations.
- 5. Reach out to administrations and governments who are not currently represented on the GAC or in other ICANN forums.

Previous HLGM's have taken place in Toronto (ICANN45), London (ICANN50) and Marrakesh (ICANN55). In Barcelona, this HLGM will feature four main public sessions including:

- Session 1: The Role of and Opportunities for Governments in ICANN Post-IANA Transition;
- Session 2: Thematic Challenges in the Internet Governance Ecosystem Cybercrime, Data Protection, and Privacy;
- Session 3: The Internet Technological Evolution and the Role of ICANN
- Session 4: Global Digital Agenda and Internet Policies

Governmental Advisory Committee (GAC)

Senior delegation officials from the invited countries and organizations will also be given an opportunity to hear luncheon remarks from Houlin Zhao, the Secretary-General of the International Telecommunications Union (ITU). A private reception will allow government leaders to network with the ICANN Board and Supporting Organization and Advisory Committee chairs. All sessions of the event will be recorded and transcribed and a post-HLGM report will also be prepared and shared.

The Regular GAC Agenda

The regular GAC agenda for ICANN63 features a number of substantive topics including several sessions on ICANN implementation of the European Union's General Data Protection Regulation. GAC members will be actively participating in the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. The GAC will also devote more than four hours of plenary session time to GDPR matters.

GAC members will discuss and explore developments and views regarding the New gTLD Subsequent Procedures Policy Development Process, including Work Track 5 on Geographic Names at the Top-Level. They will work to resolve the formal GAC perspective on the final report of the Cross-Community Working Group on Enhancing ICANN Accountability Work Stream 2. They will also discuss matters related to International Governmental Organization access to curative rights protections, review new gTLD auction proceeds matters, and share with ICANN Board members the concerns of interested countries on the availability of two-character country codes at the second-level.

GAC plenary sessions at ICANN63 will also focus on operational matters including the announcement of the 2019 GAC leadership team, future support for the independent GAC secretariat function, and an update on the plan for evolving the GAC operating principles to help the committee effectively operate within the Empowered Community.

Background

The GAC provides advice on public policy aspects of ICANN's responsibilities with regard to the Domain Name System. The GAC is not strictly a decision-making body but advises ICANN on issues that are within its scope. GAC consensus advice has a particular status under the ICANN Bylaws. Such advice must be duly taken into account by the ICANN Board of Directors, and if the ICANN Board of Directors proposes actions that would be inconsistent with GAC consensus advice, it must give reasons for doing so and attempt to reach a mutually acceptable solution.

The membership of the GAC consists of national governments and distinct economies recognized in international forums. Multinational governmental and treaty organizations and public authorities – including United Nations (UN) agencies with a direct interest in global Internet governance such as the ITU, UN Educational, Scientific, and Cultural Organization (UNESCO), and World Intellectual Property Organization (WIPO) – participate in the GAC in an observer capacity. With the recent addition of the Lao People's Democratic Republic (Lao PDR), there are now 178 GAC members and 36 GAC observers. Further information about the GAC is available on the GAC website.

Governmental Advisory Committee (GAC)

Session Details

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Formal GAC plenary sessions will start midday on Saturday, 20 October and conclude at the end of the day on Wednesday, 24 October. Each morning the GAC leadership will review the plan for the day to help attendees prepare for topic discussions. All GAC sessions will be open to the entire community and feature remote participation and simultaneous interpretation in the six UN languages plus Portuguese.

This openness continues during the GAC Communiqué drafting session on the evening of Tuesday, 23 October and the afternoon of Wednesday, 24 October. Several GAC Working Groups (Public Safety, Human Rights and International Law, and Nominating Committee) are also scheduled to conduct working sessions, most of which will take place with the whole GAC in plenary settings. The GAC will take active part in the cross-community and high interest topic sessions scheduled during the week and expects to integrate them into its agenda as much as possible.

More details regarding particular GAC plenary sessions can be found on the **ICANN63 schedule**, where all session presentations will be posted.



Root Server System Advisory Committee (RSSAC)

What to Expect

The RSSAC will have work sessions throughout the week to advance its work and to discuss various internal matters. The RSSAC will also continue to raise awareness about its recent **<u>publications</u>**:

- RSSAC037: A Proposed Governance Model for the DNS Root Server System
- RSSAC038: RSSAC Advisory on a Proposed Governance Model for the DNS Root Server System
- RSSAC039: RSSAC Statement Regarding ICANN's Updated KSK Rollover Plan
- RSSAC040: Recommendations on Anonymization Processes for Source IP Addresses Submitted for Future Analysis
- RSSAC041: RSSAC Advisory on Organizational Reviews

Background

The **RSSAC** is responsible for advising the ICANN community and Board on matters relating to the operation, administration, security, and integrity of the authoritative root server system. The RSSAC consists of representatives from the root server operator organizations and liaisons from the partner organizations involved in the technical and operational management of the root zone.

In 2014, the RSSAC launched the **RSSAC Caucus** of Domain Name System (DNS) and root server system experts to carry out its essential work. There are 100 RSSAC Caucus members, broadening the base of technical expertise contributing to RSSAC work. There are currently two active work parties in the RSSAC Caucus studying:

- Service Coverage for the Root Server System
- Modern Resolver Behavior

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How It Works Tutorial: Root Server Operations

Saturday, 20 October | 15:15-16:45 | Room 114 Monday, 22 October | 17:00-18:30 | Room 131/132

This tutorial will start with a brief overview of the DNS, its history, and what the root server operators do. A few technical topics will be covered, including a general explanation of the DNS and anycast routing. The session is applicable to all audiences and no prerequisite technical expertise is necessary

Root Server System Advisory Committee (RSSAC)

RSSAC Work Sessions

Saturday, 20 October | 09:00-10:15 | Room 133 Saturday, 20 October | 10:30-12:00 | Room 133 Saturday, 20 October | 13:30-15:00 | Room 133 Tuesday, 23 October | 15:15-16:45 | Room 134 Wednesday, 24 October | 15:15-16:45 | Room 131/132

RSSAC Caucus Work Sessions

Sunday, 21 October | 09:00-10:15 | Room 133 Sunday, 21 October | 10:30-12:00 | Room 133 Sunday, 21 October | 13:30-15:00 | Room 119

All RSSAC and RSSAC Caucus work sessions are open for observation.

RSSAC Information Session

Tuesday, 23 October 09:00-10:15 Room 113

The RSSAC will provide updates on its recent publications and current work, as well as answer questions related to its mission and upcoming priorities.

RSSAC Caucus Meeting

Tuesday, 23 October | 10:30-12:00 | Room 129/130

The RSSAC Caucus invites the community to observe its annual meeting.

Joint Meeting: RSSAC and the ICANN Office of the Chief Technology Officer

Tuesday, 23 October | 13:30-15:00 | Room 129/30

The RSSAC will have a joint meeting with the ICANN Office of the Chief Technology Officer to discuss areas of potential collaboration.

Joint Meeting: ICANN Board and RSSAC

Tuesday, 23 October | 18:00-19:00 | Room 111/112

The RSSAC will have a joint meeting with the ICANN Board to discuss its current and future work.



Security and Stability Advisory Committee (SSAC)

What to Expect

The SSAC will hold a workshop on DNS Security Extensions (DNSSEC) during ICANN63. DNSSEC continues to be deployed around the world at an accelerating pace. From the root to both generic top-level domains (gTLDs) and country code top-level domains (ccTLDs), the push is on to deploy DNSSEC to every corner of the Internet. Businesses and Internet service providers (ISPs) are building their deployment plans, and interesting opportunities are opening up for all as the rollout continues.

Background

What is the SSAC? The SSAC advises the ICANN community and Board on matters relating to the security and integrity of the naming and address allocation systems of the Internet. This includes operational matters (e.g., matters pertaining to the correct and reliable operation of the root name system), administrative matters (e.g., matters pertaining to address allocation and Internet number assignment), and registration matters (e.g., matters pertaining to registry and registrar services such as WHOIS).

How does the SSAC operate? The SSAC produces reports, advisories, and comments on a range of topics. Reports are longer, substantive documents, which usually take several months to develop. Advisories are shorter documents produced more quickly to provide timely advice to the community. Comments are responses to reports or other documents prepared by others (e.g. ICANN organization, Supporting Organizations, other Advisory Committees, other groups outside of ICANN). The SSAC considers matters pertaining to the correct and reliable operation of the root name system, to address allocation and Internet number assignment, and to registry and registrar services such as WHOIS. The SSAC also tracks and assesses threats and risks to the Internet naming and address allocation services. For more information visit the <u>SSAC website</u>.

How do I participate in the SSAC? For information on how to participate in the SSAC, see the **SSAC Operational Procedures** and the **SSAC Introduction**.

Security and Stability Advisory Committee (SSAC)

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DNSSEC Workshop

Wednesday, 24 October 09:00-12:00, 13:30-15:00 Room 113

This session is a public presentation and a discussion with those actively engaged in the deployment of DNSSEC. Registries, registrars, ISPs, and others who plan to deploy DNSSEC services will benefit from the presentation and discussion of the deployment experience. Anyone with an interest in the deployment of DNSSEC, particularly registry, registrar, and ISP employees with technical, operational, and strategic planning roles may want to attend. The DNSSEC Workshop has been a part of ICANN Public Meetings for several years and has provided a forum for both experienced people and newcomers to meet, present, and discuss current and future DNSSEC deployments.

The workshop includes presentations and panel discussions on the following topics:

- DNSSEC Workshop Introduction, Program, Deployment Around the World Counts, Counts, Counts
- Lessons learned from KSK rollover
- DS Automation
- DANE Automation
- DNSSEC: How Can I Help?

