# Post-ICANN63 Policy Report



# I C A N N 6 3 BARCELONA

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# A Successful ICANN63



Thank you very much for attending ICANN63! We hope the Annual General Meeting allowed for substantive inter- and intra-community work, robust outreach, capacity building, and showcased the very best of ICANN to a global audience of over 2,360 participants.

This Post-ICANN63 Policy Report captures updates from the Supporting Organizations and Advisory Committees. The ICANN community develops and refines policies that ensure the security, stability, and resiliency of the global Internet. Indeed, more than 200 sessions at ICANN63 were dedicated to community policy and advice development.

At ICANN63, <u>44 community leaders</u> were recognized for concluding their terms of service. We are grateful for the collective and individual effort, skills, and time of our community. We also celebrated the 20th anniversary of ICANN's founding with a special session and reception.

The ICANN organization is proud to support the core consensus-driven policy and advice development work of the community by enabling efficient and effective bottom-up participation and engagement in the multistakeholder model. We appreciate your contributions to a successful ICANN63. We look forward to seeing you at the ICANN64 Community Forum in Kobe, Japan!

Best regards,

**David Olive** Senior Vice President, Policy Development Support

# **Address Supporting Organization (ASO)**

### What Happened?

The ASO Address Council (ASO AC) did not convene at ICANN63 but several members of the Internet number community, including ASO AC members, were present in Barcelona. The ASO held a **joint meeting** with the ICANN Board, providing updates on the regional consultations on the future structure of the ASO and on regional policy development. The ASO conducts policy development work on mailing lists at Regional Internet Registry meetings.

### **Next Steps**

To stay current with regional policy development activity, subscribe to the Regional Internet Registry policy mailing lists:

- AFRINIC Resource Policy Discussion
- APNIC Policy Special Interest Group
- ARIN Public Policy
- LACNIC Politicas
- <u>RIPE NCC Address Policy Working Group</u>

Or attend an upcoming Regional Internet Registry meeting:

- AFRINIC 29 26-30 November 2018 Tunis, Tunisia
- APNIC 47 | 18-22 and 25-28 February 2019 | Daejeon, South Korea
- ARIN 43 7-10 April 2019 Bridgetown, Barbados
- LACNIC31 | 6-10 May 2019 | Punta Cana, Dominican Republic
- **<u>RIPE 78</u>** 20-24 May 2019 Reykjavik, Iceland

The ASO Address Council will conduct its annual meeting at ICANN64 in Kobe, Japan from 9-14 March 2019.

# **Country Code Names Supporting Organization (ccNSO)**

### **Decisions and Outcomes**

The ccNSO Council meeting was held on Wednesday, 24 October. The agenda and relevant background material are available <u>here</u>, and the resolutions approved by the ccNSO Council are available <u>here</u>. Highlights of the ccNSO Council meeting include:

The ccNSO seeks additional volunteers for the <u>ccNSO Meeting Program Committee</u> (MPC) and the <u>Guidelines Review</u> <u>Committee</u> (GRC). When the volunteer application period has concluded, the ccNSO Council will appoint the candidates. Read the announcements <u>here</u>.

Taking into account the high-level support (and no indication of objection) by the ccTLD managers present in Barcelona, the ccNSO Council supported and adopted the recommendations in the **Final Report** of the Cross-Community Working Group on Enhancing ICANN Accountability Work Stream 2 (CCWG-Accountability WS2). The ccNSO Council wholeheartedly thanks all members and participants of the CCWG-Accountability for their perseverance and hard work to deliver the Final Report.

The ccNSO Council requests and mandates the <u>Guidelines Review Committee</u> (GRC) to implement the CCWG-Accountability WS2 recommendations with respect to diversity and supporting organization and advisory committee accountability, independent of whether the Final Report is adopted by all other chartering organizations and the ICANN Board. At ICANN64, the GRC will inform the ccNSO Council and broader community on its progress.

The ccNSO Council tasked a small task force to draft the Terms of Reference for a potential ccNSO internal mentor/ mentee program.

The ccNSO Council approved l'Agence de Developpement des Technologies de l'Information et de la Communication (ADETIC), the ccTLD operator of .td (Chad), as a new ccNSO member. There are now 169 members of the ccNSO, consisting of ccTLD operators from around the world. The ccNSO warmly welcomes .td to its membership and looks forward to a fruitful collaboration.

#### **Additional Activities**

The detailed ccNSO schedule for ICANN63, including agendas and pre-meeting session summaries, is available on the **ccNSO workspace**. Highlights included sessions on the following topics:

#### **TLD-OPS Disaster Recovery Workshop**

The goal of the workshop was to have an in-depth discussion focused on the various aspects of disaster recovery that are specific to ccTLDs, including elements relating to detection, activation, analysis, and action and communications planning. The ccTLD-specific components in scope could be the DNS, registry, registrants, corporation, and staff. This was a collaborative session where each participant was expected to share experiences and lessons learned. The expected outcome was to collect the disaster recovery experience, to share best practices, and to gather feedback and examples. A **briefing** on the outcome of the workshop was provided during ccNSO Members Meeting Day 2.

#### **Tech Day**

<u>Tech Day</u> has been a part of ICANN Public Meetings for several years and provides a forum for both experienced people and newcomers to meet, present, and discuss technical registry, security, and DNS-related topics. At ICANN63, Tech Day focused on disaster recovery and mitigation. Other topics of interest included registry security, DNS and Anycast, big data and associated data analysis, IETF DNS protocol updates, and recent DDoS attacks and mitigation.

# **Country Code Names Supporting Organization (ccNSO)**

#### ccNSO Members Meeting: Day 1 and 2

Tuesday, 23 October and Wednesday, 24 October were dedicated to the ccNSO Members Meeting, when the ccTLD community and other interested parties discussed and received updates on topics relevant to ccTLD managers. The ccNSO Members Meeting is open to all with an interest in ccTLD-related topics. Highlights of the ccNSO Members Meeting include:

#### ccNSO Study Group on Emoji as Second-Level Domains

The ccNSO Council established this study group to provide a comprehensive overview of the issues associated with the use of emoji as second-level domains as documented in <u>SAC095</u>. The study group will look at the need for ccTLD managers to allow emoji as second-level domains.

The study group started collecting input from ccTLD managers that offer emoji as second-level domains. If considered appropriate by the study group, it may advise on a course of further actions. The study group intends to deliver its final report by ICANN64. Read more about the study group <u>here</u>, and consult the slide deck used during its ICANN63 briefing <u>here</u>.

#### PDP Working Group on the Retirement of ccTLDs

The ccNSO Policy Development Process (PDP) Working Group on the Retirement of ccTLDs met and provided a **briefing** to the ccTLD and broader communities during the ccNSO Members Meeting Day 1. The goal of this ccNSO PDP, the third initiated by the ccNSO Council, is to develop and recommend policies to the ICANN Board pertaining to the retirement of ccTLDs. The working group will review mechanisms for decisions relating to the delegation, transfer, revocation, and retirement of ccTLDs. Consult the working group charter, work plan, and other relevant documents on its **website** and **workspace**.

#### IDN ccTLD Recommended Policy Review

In September 2013, the ccNSO concluded its work on the overall policy for selection of Internationalized Domain Name (IDN) ccTLD strings and inclusion of IDN ccTLDs in the ccNSO. This recommended policy was developed to replace the Fast Track process. Pending further development of the Fast Track process, the ccNSO agreed to defer adoption in order to gain experience with the changing methods. Five years have passed since the policy was adopted, and the proposals need to be reviewed. A **briefing** at ICANN63 informed the ccTLD community and other interested parties on the areas that need to be reviewed.

#### **CSC Effectiveness Review**

The first meeting of the <u>Customer Standing Committee</u> (CSC) took place in 2016. Per its charter and the ICANN Bylaws, the effectiveness of the CSC will initially be reviewed two years after its first meeting and subsequently once every three years. The first review started at ICANN63. The Generic Names Supporting Organization (GNSO) and ccNSO Councils recently adopted a method for a lightweight and brief CSC Effectiveness Review. The review builds on the outcome and findings of the CSC Charter Review. The results, as adopted by both the GNSO and ccNSO Councils, will feed into the IANA Functions Review.

# **Country Code Names Supporting Organization (ccNSO)**

### **Resource Materials**

The <u>ccNSO</u> is a body within ICANN created by and for ccTLD managers. Since its creation in 2003, the ccNSO has evolved to a forum for ccTLD managers to meet and discuss issues of concern with a global perspective. The ccNSO provides a platform to nurture consensus, technical cooperation, and skill-building among ccTLDs, and facilitates the development of voluntary best practices for ccTLD managers. It is also responsible for developing and recommending global policies to the ICANN Board for a limited set of issues relating to ccTLDs, such as the retirement of ccTLDs. Membership in the ccNSO is open to all ccTLD managers responsible for managing an ISO 3166 ccTLD.

The ccNSO is administered by the **ccNSO Council**, which consists of 18 councilors (15 elected by ccNSO members, three appointed by the ICANN Nominating Committee). The councilors are actively involved in determining the work and direction of the ccNSO. They manage the policy development process, lead and participate in <u>various ccNSO working</u> **groups**, engage with the community on topical issues, and develop positions based on community feedback. The ccNSO Council meets regularly at ICANN Public Meetings and on monthly teleconferences. All ccNSO Council documents, minutes of meetings, resolutions, and discussions are published on the <u>ccNSO Council workspace</u>.

- Learn more about how the ccNSO works and how it is organized in the "Quick Guide to the ccNSO."
- Enroll in the "Introductory Course about the ccNSO" <u>ICANN Learn</u>, where you can read more about the organization, practice what you learned through quizzes, and find additional links and resources.
- Subscribe or consult the archive for previous ccNSO newsletter editions.
- Follow <u>@ccNSO</u> on Twitter or on <u>Facebook</u>.



### **Decisions and Outcomes**

At ICANN63, the GNSO community organized 70 sessions, which included a series of GNSO policy development working group meetings, cross-community sessions, and time devoted to GNSO Council work.

### Review of All Rights Protection Mechanisms (RPMs) in All gTLDs PDP

At ICANN63, the Review of All Rights Protection Mechanisms (RPMs) in All gTLDs Policy Development Process (PDP) Working Group held four working sessions (<u>session 1</u>, <u>session 2</u>, <u>session 3</u>, and <u>session 4</u>).

Two sessions focused on the review of individual proposals for policy recommendations and operational fixes to the Uniform Rapid Suspension (URS) dispute resolution procedure. The URS is a dispute resolution procedure, based substantially on the longstanding Uniform Dispute Resolution Policy, that allows trademark owners to obtain a suspension of a domain name that was registered and used in bad faith by a third party without legitimate rights to the name. The working group discussed how the individual URS proposals for operational fixes and policy recommendations would be included in the Initial Report and reviewed the procedures for drafting the Initial Report.

Two other sessions focused on reviewing results from surveys relating to the Trademark Clearing House (TMCH) Sunrise and Trademark Claims. Analysis Group, a consulting firm contracted by ICANN org for the survey project, discussed with the Working Group the key survey findings and provided further clarifications on their **Final Report**. The Working Group will use the insights gained from these sessions to begin the development of TMCH RPM recommendations.

### **EPDP on the Temporary Specification for gTLD Registration Data**

The Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data Team met four times during ICANN63 (session 1, session 2, session 3, session 4). Pursuant to the EPDP Team's draft work plan, the primary goal of these meetings was to finalize the EPDP Team's responses to the charter questions and agree on preliminary recommendations for inclusion its Initial Report. In working through its charter questions, the EPDP Team continued to discuss purposes for processing registration data, related processing activities, lawful bases of processing activities, etc. Additionally, the EPDP Team tackled topics such as how to deal with the processing of data for natural vs. legal persons, the geographic application of the potential consensus policy as a result of the EPDP, as well as the definition of "reasonable" access. During a High Interest Topic Session, the EPDP Team also presented an overview of the EPDP Team's composition, scope, progress, working methodology, and expected next steps in relation to the publication of its Initial Report. The EPDP Team is expected to publish its Initial Report shortly for public comment.

#### New gTLD Subsequent Procedures PDP

The New gTLD Subsequent Procedures Policy Development Working Group met for a full day on Saturday, 20 October. During the <u>first half</u> of the day, Work Track 5 – which is devoted solely to the issue of geographic names at the top-level – focused on reviewing the existing geographic terms and their respective rules in the 2012 Applicant Guidebook (AGB) and considered whether they require modification. Work Track 5 also considered terms that were not identified as geographic terms in the AGB, and whether they should be considered as such in the future. Work Track 5 is seeking to publish its own Initial Report shortly after ICANN63.

The full working group met during the <u>second half</u> of the day, during which it discussed and came to agreement on its Supplemental Initial Report. This report was published for <u>public comment</u> shortly after ICANN63 and reviews topics additional to those found in its Initial Report 3. The full working group discussed steps that could be taken to streamline the eventual implementation of new gTLD subsequent procedures. The working group also established a set of three subgroups that will be responsible for considering public comments received on its Initial Report.

### **CCWG-Auction Proceeds**

The Cross-Community Working Group (CCWG) on New gTLD Auction Proceeds is tasked to develop a proposal for eventual consideration by the ICANN Board on the mechanism(s) that should be created to allocate the new gTLD auction proceeds from the 2012 new gTLD application round. The CCWG held a successful <u>session</u> at ICANN63 in which it shared its Initial Report, proposed recommendations with the broader community, and encouraged input <u>via public comment</u>. Specifically, the session covered the following:

- Overview of the Initial Report and the opportunity for public comment on the Initial Report.
- Details about the preliminary recommendations and guidance for the implementation phase included in the Initial Report.
- Issues raised in the Initial Report upon which the CCWG is seeking community input through public comment.
- Expected next steps.
- Questions from community members concerning these topics.

As a next step, the CCWG will consider input contained in the recent <u>letter from the ICANN Board</u>, which the CCWG did not have time to discuss prior to publication of the Initial Report. The letter provides input from the ICANN Board on the <u>charter question</u>: "To what extent (and, if so, how) could ICANN, the organization or a constituent part thereof, be the beneficiary of some of the auction funds?"

The public comment for the Initial Report will remain open until 27 November 2018. After the public comment closes, the CCWG will review and discuss the input received. The report will be updated as needed and finalized for submission to the different chartering organizations for their consideration.

#### **IGO-INGO Curative Rights Protection Mechanisms PDP**

The GNSO Council was scheduled to consider the Final Report from the International Governmental Organization (IGO) and International Non-Governmental Organization (INGO) Access to Curative Rights Protection Mechanisms Policy Development Process Working Group. However, based on concerns of Councilors associated with, but not limited to, the manner in which this PDP was conducted and recommendations contained in the Final Report, the Council opted to withdraw the motion and to further consider and discuss appropriate next steps.

#### **GNSO Council Meeting**

In part 1, the GNSO Council voted on a number of items (see resolutions <u>here</u>), including votes to confirm the charter of the <u>Standing Committee on ICANN's Budget and Operations</u>, terminate the <u>Next-Generation gTLD Registration Directory Service (RDS) to Replace WHOIS Policy Development Process</u>, approve the implementation plan for the <u>GNSO</u> <u>Policy Development Process 3.0</u> project, <u>reconfirm Julf Helsingius as GNSO Council Liaison to the Governmental</u> <u>Advisory Committee</u>, and approve the <u>Recommendations Report</u> to the ICANN Board regarding adoption of the Final Report from the <u>reconvened Red Cross Names Policy Development Process Working Group</u>.

The GNSO Council discussed the **Final Report** of the **IGO-INGO Access to Curative Rights Protection Mechanisms** and related issues, as well as development of guidelines and templates related to the GNSO Empowered Community Roles and Responsibilities. The GNSO Council also received an update on the **Expedited Policy Development Process (EPDP)** on the Temporary Specification for gTLD Registration Data.

In **part 2** of its meeting, following the seating of new councilors, the GNSO Council held its annual election for GNSO chair. Keith Drazek (Registries Stakeholder Group) was elected as GNSO chair for the coming year. Rafik Dammak (Non-Commercial Stakeholder Group) will serve an additional term as GNSO Council vice chair for the Non-Contracted Party House and Pam Little (Registrar Stakeholder Group) has been selected by the Contracted Party House to join the leadership team as vice chair.

#### **GNSO Council Wrap-Up Session**

During the GNSO Council wrap-up session, the GNSO Council discussed:

- GNSO Council review of the <u>GAC ICANN63 Barcelona Communiqué</u>
- The status of GNSO Council liaisons
- The confirmation of the GNSO Representative to the Empowered Community Administration
- Open and upcoming public comment proceedings
- The ICANN Board resolution on Reserve Fund Replenishment Strategy
- The upcoming GNSO Council Strategic Planning Session
- The membership of the GNSO Standing Committee on ICANN's Budget and Operations
- Planning for ICANN64
- Next Steps for the PDP 3.0 initiative to introduce incremental improvements to the PDP
- The status of GNSO selection of representatives for the <u>third Accountability and Transparency</u> <u>Review Team (ATRT3)</u>

### **Additional Activities**

The GNSO Council had a productive <u>working session</u> on Sunday, 21 October. The GNSO Council received a number of status updates on initiatives including: the Cross-Community Engagement Group on Internet Governance, implementation of adopted GNSO policy recommendations, the new approach to the ICANN Fellowship Program, and Internationalized Domain Name (IDN) variants. The GNSO Council discussed key issues related to ongoing PDPs and considered next steps for PDP 3.0.

The GNSO Council and ICANN Board had a joint meeting focused on the question: "How should ICANN's multistakeholder model of governance and policy development process evolve to balance the increasing need for inclusivity, accountability and transparency, with the imperative of getting our work done and our policies developed in a more effective and timely manner, and with the efficient utilization of ICANN's resources?".

The remainder of the working sessions focused on preparation for meetings that took place later in the week. The GNSO Council reviewed motions planned for consideration at its public meeting and reviewed agendas for joint meetings planned with the Country Code Names Supporting Organization (ccNSO) and the Governmental Advisory Committee (GAC). The GNSO Council also interviewed candidates for the GNSO Chair elections held at the public meeting.

The GNSO met with the GAC and discussed:

- An introduction to the GNSO and the policy development process, including PDP 3.0
- IGO and INGO Access to Curative Rights Protection Mechanisms PDP
- The European Union's General Data Protection Regulation (GDPR) and WHOIS

The GNSO met with the ccNSO and discussed:

- The status of the Customer Standing Committee (CSC) Effectiveness Review
- The IANA Functions Review, including progress and expected next steps
- The Initial Report of the Cross-Community Working Group on New gTLD Auction Proceeds
- Coordinating participation in ICANN's fiscal year 2020 budget planning process and the upcoming strategic planning process
- Specific reviews and operating standards
- Progress of the ccNSO Study Group on Use Emoji as Second-Level Domains
- Whether coordination is needed on recommendations regarding confusing similarity evaluation for new gTLDs and IDN ccTLDs

### **Next Steps**

In the coming weeks, the GNSO Council, GNSO working groups, and cross-community working groups will return to their regular meeting schedules and are expected to make further progress on the topics outlined above. GNSO community leaders and ICANN org representatives are working together to prepare for ICANN64.



### **Decisions and Outcomes**

At-Large conducted 24 sessions during ICANN63 with a focus on two main projects and two primary policy issues. The highlights are:

### **Change in ALAC Leadership**

Maureen Hilyard became At-Large Advisory Committee (ALAC) Chair as Alan Greenberg stepped down after four years in the role. ICANN Board Chair Cherine Chalaby, ICANN President and CEO Göran Marby, and community leaders recognized Alan Greenberg for his service. Hilyard will lead the ALAC in its implementation of the At-Large Review as well as the organization of the third At-Large Summit. She will expand the leadership team to include Regional At-Large Organization (RALO) chairs as well as the chairs of key At-Large working groups.

Members of the new At-Large Leadership Team Plus (ALT-Plus) assumed their roles on the last day of ICANN63. The new ALT-Plus will play a significant role in implementing the new organizational structure of At-Large leadership, including a closer relationship between the ALAC, RALO leadership, and At-Large working group chairs. The new ALT-Plus met on Friday, 26 October to address organizational issues and to make progress on the <u>eight strategic priorities</u> of the At-Large Review implementation.

### **ALAC Decisions**

The ALAC passed seven motions including: a motion to approve an **update to the ALAC Rules of Procedures**, an update to the ALAC and Governmental Advisory Committee (GAC) Joint Statement from ICANN60, a merger of several working groups into the Subcommittee on Outreach and Engagement, and a motion to relaunch a ratification vote on the ALAC Statement on the **Proposed gTLD Registration Data Access Protocol (RDAP) Profile**.

#### **ARIWG**

The At-Large Review Implementation Working Group (ARIWG) led by Maureen Hilyard was the focus of several ALAC working sessions during ICANN63. The ALAC Chair introduced a new leadership and communications structure between the ALAC and RALO leaders to implement the eight issue areas of the At-Large Review implementation plan.

During ICANN63, At-Large leaders discussed their assigned topics and implementation templates. ALAC Chair Maureen Hilyard will work with the ARIWG and staff to submit the first report of the ARIWG in December 2018.

### At-Large Summit (ATLAS) III

Plans for the third At-Large Summit (ATLAS III) at ICANN66 are moving forward. ATLAS III will be a smaller summit focused on the At-Large Review improvements. An initial logistical plan has been developed. Activity related to ATLAS III planning continued during ICANN63, including discussions on At-Large Structure (ALS) metrics, policy, outreach, and engagement activities.

### **Policy Advice Development**

ALAC policy advice development included: a series of policy discussions related to the European Union's General Data Protection Regulation, new gTLD subsequent procedures, follow up on the KSK Rollover, and continued activity of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. All members of the ALAC participated in the EPDP sessions on the first day of the meeting. The ALAC also ratified an update to the ALAC and GAC Joint Statement from ICANN60.

In addition to the Governmental Advisory Committee (GAC), At-Large leaders also met to discuss policy issues with other stakeholders including: the Country Codes Names Supporting Organization (ccNSO), Non-Commercial Stakeholder Group (NCSG), the Root Server System Advisory Committee (RSSAC), and the Security and Stability Advisory Committee (SSAC). The ALAC also met with the ICANN Board to discuss a <u>series of questions</u>.

Jonathan Zuck and Olivier Crépin-Leblond, co-chairs of the <u>Consolidated Policy Working Group (CPWG)</u>, presented on the evolution of the working group and updates to the At-Large policy advice development process and public comment workflow. Greg Shatan, North American Regional At-Large Organization (NARALO) member, presented on the proposed Unified Access Model and latest GDPR and WHOIS updates.

### **Additional Activities**

### **Regional At-Large Organization (RALO) Activity**

The ALAC and Regional leadership reviewed cross-RALO hot policy topics, individual membership procedures, and ALS criteria and expectations as a follow up to their work during ICANN62. RALO leaders agreed to work toward producing a quarterly newsletter focused on policy issues for end users, to be translated into French and Spanish.

The **European At-Large Regional Organization** (EURALO) hosted a virtual General Assembly to discuss the work of EURALO and a networking activity to engage with ICANN and the local community. The **General Assembly** featured special guests: Jean-Jacques Sahel, Vice President, Global Stakeholder Engagement, Europe; Alexandra Kulikova, Head of Global Stakeholder Engagement, Eastern Europe and Central Asia; Leon Felipe Sanchez, ICANN Board; Alan Greenberg, ALAC Chair; and Maureen Hilyard, incoming ALAC Chair. In addition, the **EURALO Individual Users Association** held a meeting during ICANN63.

The African community held an <u>African Regional At-Large Organization (AFRALO)-AfrICANN joint meeting</u> which discussed: new gTLD subsequent procedures, community applications since the 2012 round, and the concept of rounds and community application recommendations. The meeting featured AFRALO Chair Mohamed El-Bashir; ALAC Chair Alan Greenberg; ICANN Board Director León Sanchez; and Pierre Dandjinou, Vice President, Global Stakeholder Engagement, (GSE), Africa. The guests and AFRALO leaders exchanged views on the African region and affirmed their <u>statement</u>. The AFRALO community continues to contribute to sessions in key regional events throughout the year such as: African Network Information Centre meetings, the Africa DNS Forum, Africa Internet Governance Forum, and the Africa Internet Summit.

### **At-Large Outreach and Engagement Activities**

The Joint At-Large and Non-Commercial Users Constituency Outreach Event brought together local academia, civil society, and Internet end users. An agenda of policy issues and specific topics was presented in an interactive town hall format. The exciting session helped both newcomers and seasoned community members familiarize themselves with policy development. The event gave attendees in-depth information on the issues important to both communities.

The Social Media Working Group (SMWG) mobilized the At-Large community to promote ICANN63 sessions on social media. The ALAC passed a motion to merge the SMWG, the Capacity Building Working Group, and the Technology Task Force into the Subcommittee on Outreach and Engagement. The new ALAC <u>Subcommittee on Outreach and Engagement</u> met to discuss updates on At-Large activities intended to engage current members as well as reach out to potential new members.

New ALAC Chair Maureen Hilyard was interviewed alongside the former ALAC Chair Alan Greenberg about the **Evolution** of At-Large Outreach Booth was hosted by community volunteers.

### **Next Steps**

Moving forward, there will be an annual CPWG workshop at an ICANN Public Meeting. Interested members of At-Large as well as ICANN Fellows and NextGen participants will be invited. A policy briefing will be prepared before each ICANN Public Meeting with the aim of identifying policy items and At-Large messaging on chosen issues. The CPWG co-chairs will produce brief podcasts or videos on policy every month.

The ALT-Plus agreed that the format of At-Large sessions at ICANN Public Meetings will have a ratio of 80% policy to 20% process to prioritize substantive policy work over administrative work. Titles of At-Large session agendas going forward will include the substantive topic being discussed (e.g., not just "At-Large Leadership Session #1"). ALT-Plus members will also set up individual "About Me" pages in addition to their statements of interest to encourage engagement.



### **Resource Materials**

#### **At-Large Sessions**

- Policy Discussions: <u>CPWG</u> | <u>RALO Hot Topics</u>
- Joint Meetings: ICANN Board | GAC | SSAC | RSSAC | NCSG | CCNSO
- Meetings with ICANN org Departments: Finance | Public Responsibility Support | GSE
- ATLAS III Planning
- <u>At-Large Review Implementation</u>
- ALAC Actions

#### **Other Materials**

- <u>At-Large ICANN63 workspace</u>
- At-Large Leadership Organizational Chart under ALAC Chair Maureen Hilyard
- **<u>Tweets</u>** from At-Large sessions and activities

#### **Policy Materials**

- <u>At-Large Policy Comments and Advice</u>
- <u>At-Large Workspace</u>
- At-Large Community Hot Policy Topics: Why End Users Should Care
- AFRALO Hot Policy Topics
- AFRALO-AfrICANN Joint Statements
- Asian, Australasian and Pacific Islands At-Large Organization (APRALO) Hot Policy Topics
- European Regional At-Large Organization (EURALO) Hot Policy Topics

### **Decisions and Outcomes**

Participants representing 90 Governmental Advisory Committee (GAC) members and 9 observers attended ICANN63. Among its 30 sessions and meetings in Barcelona, the GAC devoted time to a variety of timely policy issues as well as to inter-constituency activities, community engagement, and internal operational matters.

The GAC issued the ICANN63 Barcelona Communiqué and provided the ICANN Board with consensus advice on two-character country codes at the second level and protection of international governmental organization (IGO) identifiers. The communiqué is posted on the GAC website and can be found <u>here</u>.

<u>GAC working groups</u> (including those focused on GAC's participation in the ICANN Nominating Committee, human rights and international law, public safety and underserved regions) also held various sessions in Barcelona. Specific updates on their respective work can be found in the ICANN63 Barcelona Communiqué.

### **High Level Governmental Meeting**

A major component of the GAC's engagement in Barcelona was the **2018 High Level Governmental Meeting (HLGM)**, hosted by the Spanish government on Monday, 22 October. This was the fourth HLGM to be held in conjunction with an ICANN Public Meeting. Nearly 200 ministers and senior officials from governments and various inter-governmental organizations representing 124 delegations from around the world, attended the HLGM. Delegates discussed and acquainted themselves with the technical, legal, and geopolitical challenges that ICANN faces as it works to fulfill its mission in a changing Internet ecosystem. Delegates addressed a range of issues: opportunities for governments in ICANN post-IANA transition; cybercrime, data protection and privacy; the role and impact of Internet technological evolution on ICANN; and the global digital agenda and Internet policies.

Like its predecessors, this HLGM provided an opportunity to reaffirm to senior administrative and elected officials the critical role that governments play in providing advice to the ICANN Board on public policy issues. The HLGM enabled all parties to gain a better understanding of the role of governments in ICANN processes.

#### **Other Connections**

Among its other engagement activities, the GAC met with the ICANN Board. The issues discussed included: recommendations from the reconvened Generic Names Supporting Organization (GNSO) Red Cross Names Policy Development Process (PDP), the applications for dot.Amazon, and two-character country and territory codes at the second level. The two groups also discussed the GNSO IGO and International Non-Governmental Organization (INGO) Access to Curative Rights Protection Mechanisms PDP and development of a Unified Access Model for non-public WHOIS data.

The GAC also met with members of the GNSO Council and discussed the operation of and proposed improvements to its PDP. The groups explored issues in the Final Report of the IGO and INGO Access to Curative Rights Protection Mechanisms PDP, including reconciliation with previous GAC advice.

The GAC met with members of the Country Code Names Supporting Organization (ccNSO) and discussed the status of WHOIS and the impact of the European Union's General Data Protection Regulation (GDPR) on European ccTLDs. The groups also explored ccNSO priorities for 2019.

The GAC again met with leadership of the At-Large Advisory Committee (ALAC) and discussed further expansion of the gTLD space and GDPR-related issues. The two advisory committee leadership teams also agreed on a follow-up joint statement to the ICANN Board to provide additional input and perspectives on how best to enable inclusive, informed, and meaningful participation in ICANN. That statement, as agreed, was attached to the ICANN63 Barcelona Communiqué.

The GAC met with Public Technical Identifiers (PTI) and discussed the IANA and PTI functions, PTI Board priorities for the coming year, and the recent successful KSK Rollover.

The GAC met with leaders of both the 2018 and 2019 Nominating Committee (NomCom) in plenary session. The NomCom leaders offered an overview of committee operating procedures including confidentiality. A suggestion that the recently submitted GAC criteria for ICANN Board appointments be updated annually was noted. The GAC was also briefed on implementation of the NomCom organizational review. The GAC will give further consideration to whether to resume its place on the NomCom.

### **Outreach and Engagement**

For ICANN63, the GAC organized a pilot introduction and welcome session for participants attending their first ICANN Public Meeting. The session agenda featured the purpose, logistics, and administration of a GAC meeting at an ICANN Public Meeting. The thirty participants who attended showed a high interest in the role of the GAC and what actions should be taken to participate more actively at ICANN Public Meetings.

As part of ICANN63, the <u>GAC Underserved Regions Working Group</u> shared an initial assessment of the recent series of eight capacity development workshops designed to welcome new GAC representatives and those interested in learning more about the GAC and its relationship with other aspects of the ICANN community. Outlines of the report have been presented to the GAC. The working group leadership advised that it will be sharing the final report on the capacity development workshops with the full GAC membership for comments and potential endorsement during ICANN64.

#### **Operational Matters**

In Barcelona, the GAC formally concluded its 2018 leadership election process. By acclamation, the GAC elected Manal Ismail (Egypt) as chair for the term March 2019-March 2021. The GAC elected as vice chairs for the term March 2019-March 2020: Chérif Diallo (Senegal), Pär Brumark (Niue), Thiago Jardim (Brazil), Olga Cavalli (Argentina), and Luisa Paez (Canada).

The GAC established the GAC Operating Principles Evolution Working Group. The working will be co-chaired by Guo Feng (China) and Pär Brumark (Niue). The task of the working group is to review and make recommendations on the structure and substance of the GAC Operating Principles.

Also, the Board-GAC Review Implementation Working Group (BGRI) met in GAC plenary session. ICANN Board members and GAC members agreed to change the name of the group to "Board-GAC Interaction Group". The group noted the ICANN Board's proposed timeline for responding to the ICANN63 Barcelona Communiqué and reviewed and noted feedback on the ICANN Board's continuing initiative to ensure that the status of historical and current GAC advice is accurately recorded and tracked.

### **Additional Activities**

### WHOIS and GDPR

The GAC devoted substantial time in Barcelona to discuss GDPR issues. The GAC reviewed developments across ICANN with regard to WHOIS access and compliance with data protection laws including the GDPR. GAC members participated in the GDPR cross-community session and the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data meetings held during ICANN63. The GAC also had an exchange of views with the Non-Commercial Stakeholder Group and the Intellectual Property Constituency on developments with regard to the EPDP and a Unified Access Model.

In short, GAC discussions of WHOIS compliance with the GDPR highlighted concerns about the impact of the Temporary Specification on law enforcement, cybersecurity investigators, and those involved in protecting intellectual property rights. In order to mitigate the negative consequences on investigations, as well as the related harm on victims, the GAC emphasized the need for the ICANN Board to drive the Unified Access Model discussions "towards concrete and timely results", in concert with ongoing efforts in the EPDP.

While acknowledging progress in the work of the EPDP, and reinforcing its commitment to contribute to this effort, the GAC noted the risk that a comprehensive policy may not be in place before the Temporary Specification expires, and called upon the ICANN community to consider how to mitigate this risk.

### **Two-Character Country Codes at the Second Level**

The GAC had a substantial dialogue with ICANN Board members about options available to governments dealing with applications to use two-character country and territory names at the second level of gTLDs. They also discussed procedural issues with how the ICANN Board has dealt with previous GAC advice on this issue. In the ICANN63 Barcelona Communiqué, the GAC provided advice to the ICANN Board seeking an explanation on implementation of previous GAC advice to date, including – in connection with the <u>8 November 2016 ICANN Board resolution</u> – authorization to release all two-character country codes in new gTLDs.

The GAC also noted that the ICANN Board indicated it would put in place a web page enabling governments to be notified when their two-character codes are registered at the second level under new gTLDs. The GAC noted, however, that this measure does not address the request from some GAC members to be consulted prior to the release of their country codes at the second level under gTLDs.

### **CCWG-Accountability Work Stream 2**

The GAC also made a substantial effort to complete its review of the recommendations developed by the Cross-Community Working Group on Enhancing ICANN Accountability Work Stream 2. After consideration of the Final Report, the GAC expressed its support for recommendations: a commitment to improve diversity, a framework of interpretation for human rights, and guidelines for standards of conduct presumed to be in good faith associated with exercising removal of individual ICANN Board directors. The GAC also expressed support for improving the ICANN Office of the Ombudsman, increasing supporting organization and advisory committee accountability, improving staff accountability, and improving ICANN transparency. The GAC was not in a position to approve or reject the recommendations on jurisdiction in Barcelona.

The GAC noted that if the recommendations are accepted by the ICANN Board, the committee will work with the community to monitor their implementation, particularly with regard to diversity, human rights, and the impact of transparency recommendations on ICANN's dealings with governments.

### **Next Steps**

The GAC and ICANN Board will have their post-ICANN Public Meeting conference call in four to six weeks. The purpose of the conference call is to review and clarify any aspects of the GAC advice from the ICANN63 Barcelona Communiqué. In parallel, the GNSO will provide its review of the advice to the ICANN Board.

### **Resource Materials**

More details about GAC meetings in Barcelona and other sessions can be found on the **ICANN63 schedule** and the **GAC schedule** pages where all recordings and presentations are posted.



# **Root Server System Advisory Committee (RSSAC)**

### What Happened?

The RSSAC had five work sessions and the RSSAC Caucus had three work sessions throughout the week to advance work items and discuss administrative matters. The RSSAC held joint meetings with the <u>ICANN Board</u>, the <u>At-Large Advisory</u> <u>Committee</u>, and the <u>ICANN org Office of the Chief Technology Officer</u>. Both the RSSAC and the RSSAC Caucus also had formal meetings at ICANN63. During its formal meeting, the RSSAC elected Fred Baker of Internet Systems Consortium as its new co-chair, joining Brad Verd of Verisign, Inc. and replacing Tripti Sinha of the University of Maryland.

### **Next Steps**

In 2014, the RSSAC launched the RSSAC Caucus of the Domain Name System (DNS) and Root Server System experts. There are 100 RSSAC Caucus members broadening the base of technical expertise contributing to RSSAC work. There are currently two RSSAC Caucus work parties studying:

- Service Coverage for the Root Server System
- Modern Resolver Behavior

The next RSSAC Caucus meeting will be held at IETF 104 in Prague, Czech Republic from 23 to 29 March 2019.

### **Resource Materials**

All RSSAC and RSSAC Caucus work sessions were open to observation:

- RSSAC Work Sessions <u>1</u>, <u>2</u>, <u>3</u>, <u>4</u>, and <u>5</u>
- RSSAC Caucus Work Sessions 1, 2, and 3

These sessions were in addition to the **RSSAC Information Session**, the **RSSAC Caucus Meeting**, and the **RSSAC Meeting**.

For more information, including meeting minutes and a publications library, please visit the **RSSAC webpage**.

# Security and Stability Advisory Committee (SSAC)

### What Happened?

The SSAC held two sessions on DNS Security Extensions (DNSSEC) during ICANN63. The first session was "DNSSEC for Everybody: A Beginner's Guide". In this session, the SSAC introduced DNSSEC and showed how attendees can easily and quickly deploy DNSSEC.

The second session was the DNSSEC Workshop. This session was a public presentation and discussion with those actively engaged in DNSSEC deployment. The DNSSEC workshop has been a part of ICANN Public Meetings for several years and has provided a forum for both experienced and new people to meet, present, and discuss current and future DNSSEC deployments. Registries, registrars, ISPs and others who plan to deploy DNSSEC services benefited from the presentation and discussion of the deployment experience. Anyone with an interest in the deployment of DNSSEC – particularly registry, registrar, and ISP employees with technical, operational, and strategic planning roles – may want to review the materials.

The DNSSEC workshop included:

- Presentation on DNSSEC deployment around the world
- Panel discussion on DNSSEC activities and lessons learned from KSK Rollover
- Panel discussion on automating updates of Delegation Signer (DS) records
- Presentations and panel discussions on DNS-based Authentication of Named Entities (DANE)

In addition, the SSAC held working sessions to update the Names Collision and Analysis Project proposal, member meetings with a series of technical presentations and discussions, and an emerging security session in collaboration with Tech Day. Other group discussion topics included the European Union's General Data Protection Regulation, KSK Rollover, and root zone evolution.

### **Next Steps**

The DNSSEC Workshop Program Committee will shortly begin work on the planning of the DNSSEC Workshop to be held at ICANN64.

The SSAC also will continue the development of reports, advisories, and comments on a range of topics and will prepare a public presentation of its recent activities for ICANN64.

### **Resource Materials**

DNSSEC Workshop: Part 1, Part 2, Part 3

Information about the SSAC and how to participate:

- SSAC Operational Procedures
- SSAC Introduction
- SSAC website
- SSAC publications